

Central Valley Salmon and Steelhead Restoration and Enhancement Plan



April 1990



Cover Photo by Truman Holtzclaw of Fair Oaks, California

State of California
The Resources Agency
DEPARTMENT OF FISH AND GAME

**CENTRAL VALLEY SALMON AND STEELHEAD
RESTORATION AND ENHANCEMENT PLAN**

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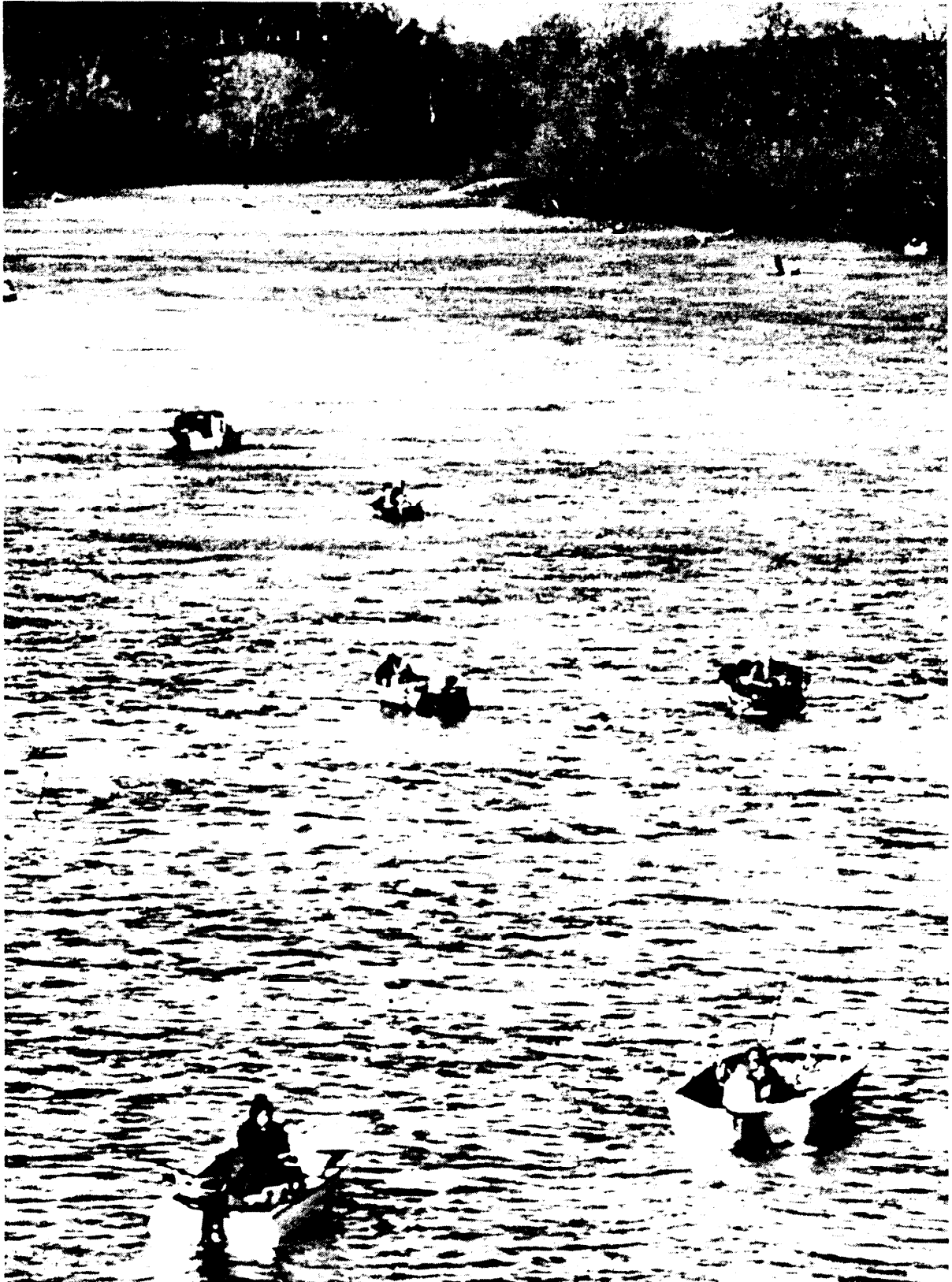
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Boat anglers fishing in the upper Sacramento River

PART I - INTRODUCTION

This plan is intended to outline the Department of Fish and Game's (DFG) restoration and enhancement goals for salmon and steelhead resources of the Sacramento and San Joaquin river systems and to provide direction for various DFG programs and activities. A large part of the DFG's success in attaining these goals depends on the level of concern by the public and the decisions made by other governmental agencies. Therefore, this plan is also intended to provide the understanding and persuasive arguments for the restoration and enhancement of the State's salmon and steelhead resources.

Legislation (Chapter 1545/88) mandated that DFG make a major new effort to restore salmon, steelhead trout, and anadromous fish. This document was the first step in development of a series of basin plans for all anadromous fish waters.

The general goals of the DFG are: (1) restore all depleted salmon and steelhead habitat to a condition capable of sustaining population goals; (2) at least double the natural salmon production by the year 2000; (3) develop an annual steelhead run in the Sacramento River system of 100,000 fish; (4) ensure proper mitigation and compensation of existing projects that have resulted in resource loss or which are continuing to cause resource damage; (5) ensure that future projects either avoid adverse impacts to salmon and steelhead and their habitats or provide compensation where impacts cannot be avoided; and (6) enhance the quality of fishing opportunities for inland sport, ocean sport, and commercial users and maintain populations at levels capable of supporting sustained year-round angling opportunities. A complete description of the mandated goals and objectives are stated in the Salmon, Steelhead Trout, and Anadromous Fisheries Restoration Act (Chapter 1545/88).

I-1 General Description of Resource and Area

I-1-A Geographic and Geologic Description

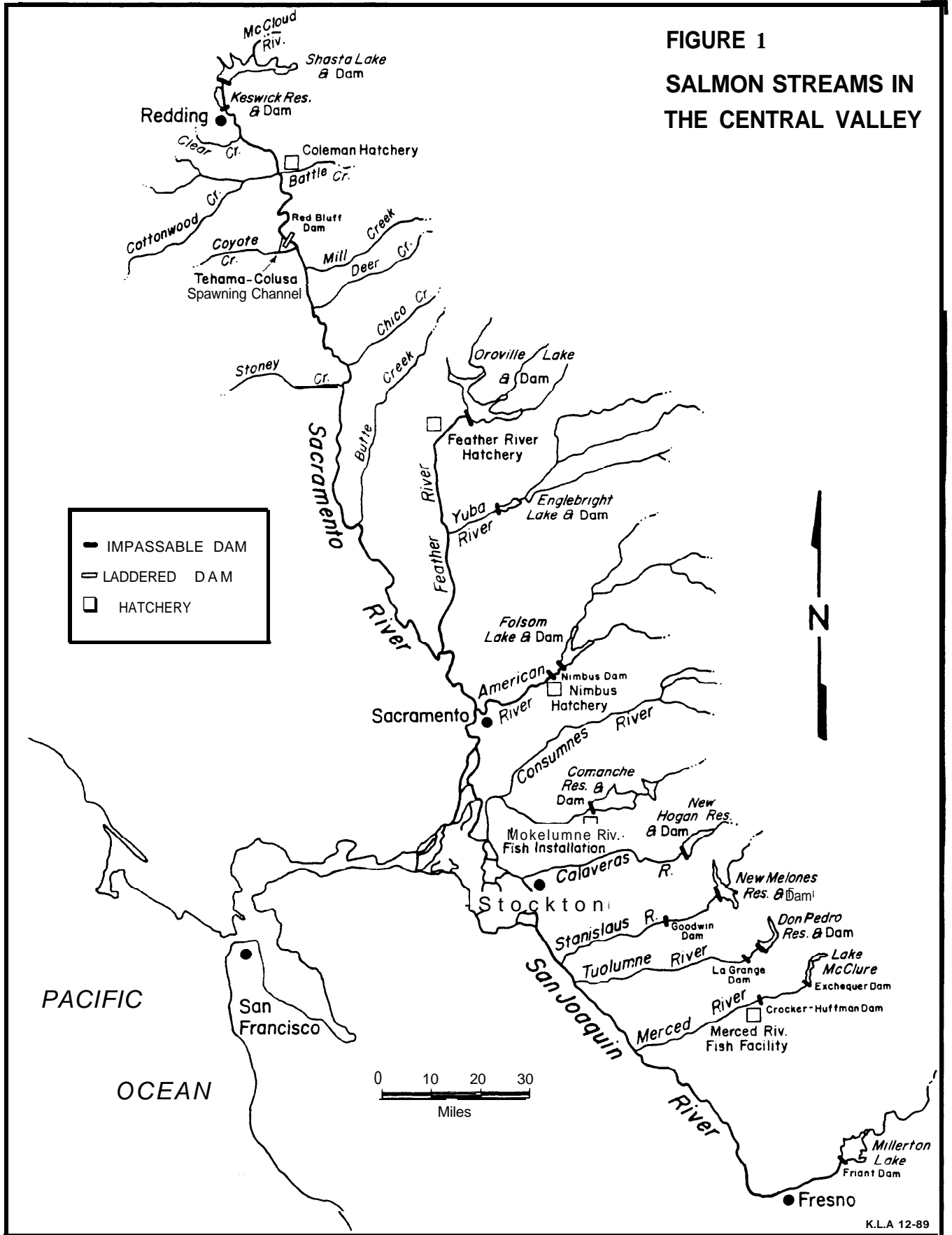
The Sacramento and San Joaquin rivers flow through the great Central Valley (Figure 1). The valley is a structural downwarp extending from Redding on the north to Bakersfield on the south, a distance of more than 400 miles. It covers an area of 15,000 square miles or about one-tenth of the State.

The Central Valley is bordered on the west and south by the California Coast Ranges, on the north by the Klamath Mountains, and on the east by the Cascade Range and the Sierra Nevada. About the northern third of the valley is known as the Sacramento Valley. The Sacramento River drains the Sacramento Valley southward along to its confluence with the San Joaquin River, near Suisun Bay and then flows westward through San Francisco Bay to the Pacific Ocean.

The Central Valley's southern part is called the San Joaquin Valley. Most of the San Joaquin Valley drains northward along the San Joaquin River, but the southern part is a basin of interior drainage tributaries to ephemeral lakes. These lakes spill into the San Joaquin River drainage during wet years.

The Central Valley is a depositional basin filled with a thick sequence of sedimentary rocks of Jurassic to Recent age. During Jurassic and early Cretaceous periods, what is now the valley were deep ocean and continental shelf areas. Subduction

FIGURE 1
SALMON STREAMS IN
THE CENTRAL VALLEY



of ocean floor under the western margin of the North American Continent resulted in the uplift of the Sierra Nevada. Later, when subduction stopped, the Coast Ranges were uplifted. Over time the structural trough between the two mountain ranges filled with a deep sequence of Cretaceous marine deposits followed by Tertiary to Recent continental deposits.

The present geomorphic configuration of the valley includes a central zone of rich agricultural soils on the river flood plain. On both sides are extensive foothill areas that slope gently toward the valley floor. These are alluvial fan and stream deposits that are being eroded by the present stream systems.

I-1-B Chinook Salmon Natural History

Although five species of Pacific salmon are occasionally found in the Sacramento-San Joaquin river system, only chinook salmon (also called king salmon), Oncorhynchus tshawytscha (Walbaum), have hatchery and naturally reproducing populations. Because of their size and food quality, they are highly prized by both commercial and sport fishermen. The Sacramento-San Joaquin river system has historically been an important spawning area for this species.

Chinook salmon were formerly distributed from the Ventura River in southern California northward to the Canadian Arctic, and they may migrate many miles upstream to spawn. Within the historic geographic range of chinook salmon, California has the southern-most population. Environmental conditions in California are typically more variable than those encountered in more northern climes. Therefore, the species must be opportunistic in selecting spawning and rearing habitat in California.

Several distinct seasonal spawning migrations occur in California's Central Valley, and these seasons are used to categorize different races of fish as follows:

1. Fall-run Salmon. Fall-run salmon are presently the most numerous of the races. Fall run migrate into the river system from July through December and spawn from early October through late December. Peak spawning occurs in October and November, although the timing of runs varies from stream to stream. Incubation occurs from October through March, and juvenile rearing and outmigration of smolts occur from January through June. Although the majority of young chinook salmon migrate to the ocean during their first few months following emergence, a small number remain in fresh water and migrate as yearlings. Chinook salmon mature at 3-4 years of age, although sexually mature 2-year-old males ("jacks") are common. Fortunately, much of the area in which fall run historically spawned was downstream from the sites of major dams; therefore, this race was not as severely affected as spring- and winter-run salmon which spawned at higher elevations.

2. **Late-fall-run Salmon.** **Late-fall-run** salmon migrate into the Sacramento River from mid-October through mid-April which overlaps the fall-run spawning migration from mid-October through December. They spawn from January through April. Incubation occurs from January through June, and rearing and outmigration of fry and smolts occur from April through mid-October. Although late-fall-run salmon were recognized prior to 1970, they were not included in Central Valley spawning stock inventories. Only after the construction of Red Bluff Diversion Dam (RBDD) was enumeration possible.
3. **Winter-run Salmon.** Most winter-run salmon migrate into the Sacramento River system as 3-year olds, with about 90 percent spawning in the main stem of the river. A few winter-run salmon may still spawn in the Calaveras River. Winter-run salmon enter the Sacramento River from mid-December through mid-July and primarily spawn in the upper main stem Sacramento River from mid-April to mid-July. The winter run usually appears in the Sacramento River near Red Bluff in December and often spends a relatively long time in the river before spawning. Incubation occurs from mid-April through mid-August, with outmigration of fry and smolts beginning in late July and ending the following June.

Historically, winter-run salmon spawned during May and June in the McCloud River. The completion of Shasta and Keswick dams in the early 1940's blocked their access to this stream. Winter-run salmon, however, were able to spawn successfully below Keswick Dam, taking advantage of cooler summer water temperatures afforded by water storage project releases. This run increased dramatically during the 1940's and 1950's, eventually surpassing the spring run in significance. Unfortunately, total salmon counts at RBDD beginning in 1970 indicate a dramatic decline in winter-run stocks. From a high of 117,808 winter-run spawners in 1969, the populations have declined to 1,000-2,000 spawners in recent years.

4. **Spring-run Salmon.** Spring-run salmon were historically the most abundant race in the Central Valley. Now only the Sacramento River and its tributaries support a remnant run. This race migrated to higher elevation to spawn in areas upstream of the locations where major dams have been built: therefore, they were much more adversely affected by water development than were the fall run. Construction of barriers to migration, higher water temperatures, and streamflow alteration have resulted in the extinction of spring-run chinook in the San Joaquin River system. Spring-run salmon enter the Sacramento River from late March through September. Many early arriving adults hold in habitats that maintain cool-water temperatures through summer before spawning in the fall. Spawning occurs from mid-August through early October with the peak in September. Spring- and fall-run salmon spawning overlap in early October in the main stem Sacramento River. Incubation occurs from mid-August through mid-January with rearing and outmigration of fry and smolts beginning in

late November and continuing through April. Because this race is a fall spawner like the fall run, they have likely hybridized with fall run in the main stem upper Sacramento and Feather rivers. A genetically discrete strain may still exist in Deer and Mill creeks where the two races are geographically separated when they spawn.

Spawning generally occurs in swift, relatively shallow riffles or along the edges of fast runs where there is an abundance of loose gravel. The females dig spawning redds in the gravel and deposit their eggs in layers. The eggs are fertilized by the male and buried in the gravel by the female. Water percolates through the gravel and supplies oxygen to the developing embryos. An average female chinook salmon produces 3,000-6,000 eggs depending on size and race of the fish.

Salmon select spawning riffle areas within a narrow range of velocity and depth. Investigators have given much attention to developing information on depth-velocity preferences for spawning. Velocity is generally regarded as a more important parameter than depth for determining the hydraulic suitability of a particular site for spawning. The velocity determines the amount of water which will pass over the incubating eggs. Depths under 6 inches can be prohibitive for spawning activities. In general, optimum spawning velocity is 1.5 feet per second (fps), ranging from 1.0-3.5 fps. Salmon usually spawn at a depth ranging from 0.5-3.0 feet. Summer-spawning, winter-run salmon have been observed spawning at depths exceeding 21 feet, much deeper than other races.

Substrate composition is another critical factor in determining the suitability of a section of river for spawning. Chinook salmon require clean, loose gravel for building redds. The average size of chinook salmon redds is approximately 165 square feet. The redds dug by late spawners may overlap those dug by early spawners by more than 60 percent. The territory required for a spawning pair has been estimated to be between 200 and 650 square feet. Where spawning occurs throughout a protracted spawning season, as many as three or four redds may be dug in the area equivalent to the territorial requirements of one pair. A conservative range for the seasonal requirements for minimum spawning area per female is 75-100 square feet. Requirements appear to be variable dependent upon the size of the fish and the characteristics of the stream.

It is important that the substrate composition be low in sand and fines so that its Permeability to water remains high throughout the incubation and emergence sequence. The presence of fines inhibits the passage (percolation) of water around the eggs and thus reduces the oxygen supply to developing embryos. Water passage through a gravel tends to increase with increased water velocity above the substrate. Also, oxygen requirements of developing eggs and sack fry or alevins increase with increasing temperature. For these reasons (temperature, dissolved oxygen), the minimum percolation rate needed to ensure good survival can vary considerably and no single standard for maximum allowable fines can be applied to all situations. Transported sediments deposited on redds cause

suffocation of eggs or alevins especially if sediment deposition increases after egg deposition. Several authors have proposed "optimum" streambed composition. Most propose 10 or 20 percent fines by volume as the acceptable maximum.

In general, the substrate chosen by chinook salmon for spawning is composed mostly of gravels from 0.75-4.0 inches in diameter with smaller percentages of coarser and finer materials. Although some spawning will occur in suboptimal substrates, incubation success will be lowered. Gravel is unsatisfactory when it has been cemented with clays and other fines or is settling out and covering eggs during the spawning and incubation period. The preferred formula for gravel deposited for enhancement purposes is 80 percent in the 0.5-2.5 inch diameter range and 20 percent in the 2.5-4.0 inch diameter range.

Water temperature greatly affects fish populations. When natural or artificial occurrences cause temperature shifts away from optimum ranges, salmon and steelhead populations are reduced. Unfortunately, as flows are reduced below dams, temperatures tend to increase. In general, the preferred temperature for chinook salmon spawning is 52 F with lower and upper threshold temperatures of 42 F and 57 F. Acceptable temperatures during upstream migration may be somewhat higher, 57-67 F.

Eggs usually hatch in 40-60 days, and the young "sac fry" usually remain in the gravel until the yolk sac is completely absorbed. The rate of development is faster at higher water temperatures. Significant egg mortalities occur at temperatures in excess of 57.50F with total mortality normally occurring at 62 F. A useful method of estimating time of emergence is calculation of degree-days. Chinook salmon eggs require approximately 750 degree-days for hatching and an approximate equal thermal period for resorption of the yolk sac for a total of 1,425 degree-days. Degree-days are computed by multiplying the incubation temperature (F-32) by the number of elapsed 24-hour periods. Thus the total time from spawning to emergence at 50 F is approximately 79 days.

After emergence chinook salmon fry attempt to hold position in the water column and feed in low velocity areas such as slack water and back eddies. They move to somewhat higher velocity areas as they grow larger. Movements of fry produced by fall-run adults occur during the December to March period. Timing of downstream migration of fingerling or pre-smolt juveniles varies according to run, but it is typically during March, April, and May. In California most young chinook salmon enter the ocean as 0-age smolts where they remain until their third or fourth year when they return to their home stream to spawn (2- and 5-year old fish also participate in the spawning *run* in small numbers). Some straying of individual fish between stream systems occurs on a regular basis, but the amount of straying may increase substantially when migrating fish encounter adverse or confusing environmental conditions such as caused by the pumping in the south Delta.

I-1-C Steelhead Natural History

The steelhead trout, Oncorhynchus mykiss, is an anadromous strain of rainbow trout that migrates to sea and later returns to inland rivers as an adult to-spawn. In contrast to chinook salmon, not all steelhead die after spawning. With natural spawning greatly reduced in the Sacramento-San Joaquin river system, steelhead populations are mostly dependent on hatcheries to maintain fishable populations. Steelhead are highly prized and utilized by inland sport anglers.

Steelhead are generally distributed from southern California to the Aleutian Islands. Within California's Central Valley a viable population of naturally produced steelhead is only found in the Sacramento River and its tributaries. No significant steelhead populations now occur in the San Joaquin River system.

In the Sacramento River, upstream migration occurs from early August through November with the peak occurring in mid-September. Some upper Sacramento River steelhead runs peak in mid-winter. Sacramento River system steelhead spawners are smaller than those found in other systems: averaging 2-3 years at maturity and weighing 1-12 pounds. The Eel River strain of steelhead has been introduced into the American River at Nimbus Fish Hatchery (NFH) and has mixed with the American River strain: this has resulted in steelhead larger than the upper Sacramento River race. Mad River steelhead were also introduced in the American River, but the results of that experiment are unknown. Spawning in the Sacramento River and its tributaries usually occurs from January through March, and individuals which survive return to the sea between April and June. Females in this river system contain an average of 3,500 eggs, with a range of 1,500-4,500.

Like other salmonids, steelhead prefer to spawn in clean, loose gravel and swift, shallow water where the female steelhead dig their redds in the gravel. Gravel from the excavation forms a mound or tail-spill on the downstream side of the pit. Eggs deposited along the downstream margin of the pit are buried in the gravel as excavation proceeds. An average of 550-1,300 eggs are deposited in each redd. The males fertilize the eggs as they are deposited in the redd. Water percolating through the gravel supplies oxygen to the developing embryos.

River depth-velocity criteria for spawning and rearing steelhead differ slightly from those for salmon. Velocity appears to be about the same as for chinook salmon, 1.5 fps, but depth is slightly less, to about 0.75 foot. Gravel particle sizes selected by steelhead vary from about 0.25-3.0 inches in diameter and are somewhat smaller than those selected by chinook salmon.

Steelhead seem to tolerate fewer fines than chinook salmon, probably because oxygen requirements for developing embryos are higher. A positive correlation has been demonstrated between steelhead egg and embryo survival and the percolation rate of water through gravel. Oxygen content of the water is also positively correlated with egg survival.

The average size of a steelhead redd is smaller than that of a chinook salmon. Redd sizes range from 22.5-121 square feet and average 56 square feet. Female steelhead spawn in six to seven redds; however, some overlap may occur as several females may spawn in the same area. Since most races of steelhead in the Sacramento system are considerably smaller than in other streams, their spawning area requirements are probably less.

Water temperatures required by various life stages of steelhead are lower than those for chinook salmon. The preferred temperatures for rearing and for adult steelhead in the Sacramento River are between 50 F and 58 F, although they will tolerate temperatures as low as 45 F. Studies show that the upper preferred temperature limit for rainbow trout in Sierra Nevada streams is 65 F. The temperature range for spawning is somewhat lower, ranging from 39-49 F, and the preferred incubation and hatching temperature is 50 F. During the egg's "tender" stage, which may last for the first half of the incubation period, a sudden change in water temperature may result in excessive mortality.

Egg development in the Sacramento River system takes place from December through April. The rate of development is a function of temperature with higher temperatures favoring faster development. At 50°F hatching occurs in 31 days: at 55 F hatching occurs in 24 days.

Newly hatched sac fry remain in the gravel until the yolk sac is completely absorbed, a period of 4-8 weeks. Emergence is followed by a period of active feeding and accelerated growth. The diet of newly emergent fry consists primarily of small aerial insects and invertebrate drift. As they grow, fry move from the shallow, quiet margins of streams to deeper, faster water.

Unlike juvenile chinook salmon, which typically emigrate soon after emerging from the gravel, juvenile steelhead usually remain in fresh water for a period of at least one year. Because rearing steelhead are present in fresh water all year, adequate flow and temperatures are important to the population at all times.

Generally, throughout their range in California, young steelhead spend from 1-2 years in fresh water before migrating downstream. In the Sacramento River steelhead generally emigrate as 1-year olds during spring and early summer months. Emigration appears to be more closely associated with size than age, 6-8 inches being the size of most downstream migrants. Downstream migration in unregulated streams has been correlated with spring freshets. However, in large regulated streams, spring freshets do not appear to be necessary to stimulate downstream migration.

Adult steelhead generally return to their parent stream to spawn, however, straying does occur. About 2-3 percent yearly exchange of individuals in two neighboring coastal streams has been observed demonstrating that population mixing can occur.



Juvenile steelhead trout

I-1-D Description of Chinook Salmon and Steelhead Resources

The Central Valley has supported average annual runs of 272,000 chinook salmon during the last 10 years and has contributed an average of 365,000 fish to ocean fisheries. Of the fish returning to spawn, 89 percent have migrated up the Sacramento River system and spawned principally in the American, Yuba, Feather and upper Sacramento rivers, and Battle Creek (Figure 1). The remaining 11 percent migrated into the San Joaquin River system and spawned in the Cosumnes, Mokelumne, Stanislaus, Tuolumne, and Merced rivers.

Fall-run salmon now make up 88 percent of the Central Valley salmon population. This race spawns at lower elevations than spring-run; therefore, they were less affected by dams. Historically, the Central Valley salmon population was comprised mostly of spring-run chinook salmon. This race also probably made up most of the early inland commercial catch. The construction of dams on the major tributary streams prevented their access to historic spawning areas and resulted in their demise. Presently this race makes up only 5 percent of the total valley run.

Almost all Central Valley steelhead return to the Sacramento River system. The average yearly run into this system is about

35,000 fish, most of which are produced at Coleman National Fish Hatchery (CNFH) on Battle Creek, at Nimbus Fish Hatchery (NFH) on the American River, and at Feather River Hatchery (FRH) on the Feather River. The CNFH is Federally owned and operated: NFH is Federally owned, but operated by the State: and FRH is State owned and operated.

1-1-E History **of** Early Commercial Fisheries

Salmon were an important food item during the gold rush and railroad building era of the 1850%. Italian immigrants began to fish for salmon in the Sacramento and San Joaquin rivers to meet the demand.

The first salmon cannery was set up in 1864 under the name of Hapgood, Hume and Company. The cannery was built on a floating scow and was located on the Sacramento River in what is now the town of Broderick. The young industry had some setbacks when initially skeptical Americans were hesitant to purchase the product. A market was developed in Australia and South America. By 1883, 21 canneries were established in California, most of them in the Bay Area. Gill netting, fyke netting, and seining were the principal methods used prior to 1870. It was reported fishermen got as many as 700-800 pounds per day in fyke traps located at Rio Vista.

The newly formed State Board of Commissioners (SBC) expressed concern for the fishery which had declined by 1870. The SBC reported mining activity had almost destroyed runs in the American, Feather, and Yuba rivers. In response to this decline, the SBC contracted with the U.S. Fish Commission (USFC) to supply eggs for propagation purposes to stock the Sacramento River. Soon afterwards, the commercial salmon catch began to increase and by 1880 reached almost 11 million pounds. This increase was attributed to improved fish cultural practices which gave great impetus to this phase of fishery management. During the 1880's catches remained above 6 million pounds, and by 1886 there were a reported 3,000 persons fishing for salmon.

The USFC report for 1883-84 expressed concern about dams being placed on the Stanislaus, Tuolumne, and San Joaquin rivers and tributaries to the Sacramento River. The USFC advocated a law to require screens on all diversions to prevent loss of young salmon migrating downstream.

Commercial cannery operations were terminated by the State legislature in 1920. The mean annual catch of the gill-net fishery for the 1915-58 period was 1,984,931 pounds. This was about 4 million pounds less than annual Production of the fishery for the 1870-1914 period: the principal cause identified for this decrease was the large increase in the ocean troll fleet. Still as many as 6,463,245 pounds were landed in the inland fisheries as late as 1946: this equaled about 293,784 fish. The gill-net fishery was terminated in 1957 by the State legislature.

Historically on their upstream migration, salmon populations endured intense fishing pressure from fyke traps, gill nets,

and other presently banned harvest methods. Habitat destruction from mining, logging activities, and irrigation activities also exacted heavy tolls. Populations were periodically depressed but were able to make great rebounds from low numbers when advantageous environmental conditions occurred. Unfortunately, starting in the 1940% when high dams were constructed, resiliency of these populations was lost when diversions reduced flows and migration routes to their historic spawning areas were blocked.

I-2 Present Restoration and Enhancement Program

Restoration and enhancement of salmon and steelhead fisheries in the Central Valley requires a combined effort of the fisheries agencies, water-distribution and land-management agencies, and the public. Restoration efforts seek to raise fish production and population numbers in a debilitated salmon or steelhead stream to the levels that naturally occurred prior to a damaging incident or project. Mitigation is the degree to which adverse impacts are reduced by project design or resource restoration efforts.

By contrast, enhancement programs seek to improve on existing conditions, independent of previous factors that affected the fish population or habitat.

I-2-A Habitat Rehabilitation

The DFG, the United States Fish and Wildlife Service (USFWS), and the National Marine Fisheries Service (NMFS) are the fishery agencies principally involved with restoration in the Sacramento-San Joaquin river system. The DFG has the only significant fisheries enhancement program. This program uses special bond funds and annual budget appropriations to create spawning and nursery habitat. The United States Bureau of Reclamation (USBR) and the USFWS attempted an enhancement project at Red Bluff Diversion Dam (RBDD) that has proven unsuccessful. The DFG and Department of Water Resources (DWR) have become extensively involved in a program to restore stream and riparian habitats in the upper Sacramento River drainage under the Senate Bill 1086 legislative mandate (Chapter 885/86).

Several spawning habitat improvement projects have been implemented by DFG on the Sacramento River from Redding to Anderson and on tributaries such as Clear, Mill, and Deer creeks. Unless hydraulic controls are present, recreated spawning riffles are usually short lived. Most of the work to date has been experimental and long-term benefits have not been documented.

Maintenance of screens and ladders on tributary streams saves many thousands of young salmon and steelhead each year. All diversions on Mill, Deer, and Antelope creeks are provided with both screens and ladders, and two natural falls on Deer Creek have been laddered. Attempts are being made to improve the ladder at McCormick-Saeltzer Dam on Clear Creek. As a condition for Federal Energy Regulatory Commission (FERC) relicensing, Pacific Gas and Electric Company (PG&E) constructed a new screen and ladder at their diversion on

South Cow Creek. A new screen is planned for the Orwick diversion on Battle Creek. The DFG constructed and operates a screen on the Anderson-Cottonwood Irrigation District (ACID) diversion at Redding and operates the old fish ladder there.

In 1988 and 1989 DFG placed approximately 30,000 cubic yards of gravel in the upper Sacramento River near Keswick Dam. Funding for these projects was partially provided by the USBR. The DFG, in cooperation with DWR, USFWS, and NMFS, is currently planning for another project which will add 100,000 cubic yards of gravel to the river for replenishment of spawning beds downstream. Other gravel restoration projects are underway or being planned in the San Joaquin River system.

I-2-B Mitigation Projects

There have been numerous actions taken to prevent or mitigate impacts to the salmon and steelhead resources of California's Central Valley which were caused by land and water use developments. These actions have generally been in the form of physical construction, legal actions, or operational procedures to minimize fishery losses.

The construction and operation of the State Water Project (SWP) and the Central Valley Project (CVP) has resulted in significant adverse impacts to the salmon and steelhead resources of the Central Valley. Anadromous fish hatcheries at the base of three project reservoirs were constructed to mitigate for the lost salmon and steelhead habitat upstream of those dams. To compensate and protect downstream resources, permit conditions or memoranda requiring minimum instream flows below project dams were established. Unfortunately, those flows have frequently proven inadequate to maintain healthy populations of salmon and steelhead. Operational features designed to minimize project impacts have included: controlling the rate of instream flow change to protect small fish on stream edges; trucking of smolts from hatcheries to the estuary; release of large pulses of water to assist and encourage downstream migration of hatchery and naturally produced smolts; and the operation of major diversion dams in a way to minimize impacts to upstream and downstream migrating fish.

Physical constraints typically are features of water development and delivery projects. Many of the major irrigation diverters have been required to screen their intake structures to minimize entrainment of downstream migrating juvenile salmon and steelhead. Rearing facilities are being used at several locations to increase smolt production or produce larger smolts to replace those that would have naturally been produced, were it not for dams and water diversions.

Legal and administrative measures taken to protect the salmon and steelhead resources include the passage of laws, establishment of fishing regulations, adoption of policies, binding agreements signed by resource agencies and resource developers, and the adoption of a variety of planning documents. The California Environmental Quality Act (CEQA),

National Environmental Protection Act, Federal Clean Water Act, and the Fish and Wildlife Coordination Act provide much of the legal basis for environmental protection and restoration for the State's fishery resources.

The Fish and Game Code contains many sections which either specifically or generally provide for the protection and continued existence of the native stocks of salmon and steelhead in California. Some of these sections and key provisions are:

Section 1505 - Provides for the protection of certain spawning areas on State-owned lands. Requires the Director to disapprove any alterations of any prime salmon and steelhead spawning areas when in his opinion such alterations would prove deleterious to fish life."

Section 1600 - Identifies the importance of California's fish and wildlife by stating "The protection and conservation of the fish and wildlife resources of this state are hereby declared to be of utmost public interest."

Section 2760 et seq. - Provides policy relative to protection and restoration of the State's fishery resources and makes specific findings relative to the impacts caused by water development. The Act (Keene-Neilson Fisheries Restoration Act of 1985) states that "California intends to make reasonable efforts to prevent further declines in fish and wildlife, intends to restore fish and wildlife to historic levels where possible, and intends to enhance fish and wildlife resources where possible."

Section 5900 et seq. - The laws found within this chapter deal with dams, conduits, and screens as they relate to protection of fishery resources. Project developer responsibilities for providing adequate bypass flows, fish ways, and fish screens are identified.

Section 6100 - This section gives DFG the authority to require screens and screen maintenance on any new water diversion after 1971 which the DFG finds to be deleterious to salmon or steelhead.

The Fish and Game Commission (FGC) has adopted various regulations and policies which are designed to protect the State's salmon and steelhead resources. Regulations restrict size and numbers of sport-caught fish to ensure that sufficient numbers of fish survive to spawn. The FGC policies for the protection of salmon and steelhead have been adopted to guide DFG in its review of land and water development proposals. The tremendous public value of salmon and steelhead is recognized and the protection of this resource has, therefore, been given a very high priority by the FGC. A number of Federal acts and projects which affect fisheries are appended to the published Fish and Game Code and the California Administrative Code Title 14.

Many water- or hydro-power-distribution agencies within the Central Valley are directly or indirectly involved with protection of salmon and steelhead fisheries through their obligation for certain mitigation measures. These mitigation measures include: minimum flow releases, hatcheries, spawning channels, intake screening, and temperature control.

There are four hatcheries in the Central Valley that raise chinook salmon and steelhead trout and one hatchery that raises only chinook salmon.

Coleman National Fish Hatchery (CNFH). This Federally operated hatchery is located on Battle Creek about 15 miles northeast of the town of Red Bluff. This hatchery was built by the USBR as part of the salvage plan to mitigate for the loss of historical spawning areas where access for salmon and steelhead was blocked by construction of Keswick and Shasta dams. This hatchery has been in operation since 1943 (at about the same time the older Battle Creek Hatchery was closed) and is funded and operated by the USFWS. Its present yearly production goal is 12 million 90/lb (fingerling) fall-run chinook salmon, 2 million 40/lb (fingerling) late-fall-run chinook salmon, and 1 million 7/lb (yearling) steelhead. After planned improvements are successfully completed, it may also have the capability to rear spring- and winter-run salmon.

Feather River Hatchery (FRH). This DFG operated salmon and steelhead hatchery is located in the town of Oroville. The hatchery was built by the DWR to mitigate for the loss of historical spawning areas when access for chinook salmon and steelhead trout was blocked by construction of Oroville Dam. The facility started operation in 1967. The cost of rearing mitigation fish is paid by the DWR. The hatchery has an annual production goal to raise 8.0 million 30/lb (fingerling) fall-run salmon, 2.0 million 50/lb (fingerling) fall-run salmon, and 0.4 million 3-4/lb (yearling) steelhead. An adjunct to the hatchery, the Thermalito Annex, has a goal of rearing 2.6 million 30/lb (fingerling) fall-run salmon, paid for principally from Salmon Stamp money and salmon landing tax receipts.

Nimbus Fish Hatchery (NFH). This DFG operated salmon and steelhead hatchery is located on the American River about 20 miles east of the City of Sacramento. This hatchery was built by the USBR to compensate for the loss of access to historical spawning areas resulting from the construction of Nimbus and Folsom dams. It started operation in 1955 and has been run by DFG; the cost of rearing mitigation fish is paid by the USBR. Its present yearly production goal is to raise 4.5 million 50/lb (fingerling) fall-run chinook salmon and 0.5 million 3-4/lb (yearling) steelhead.

Mokelumne River Fish Installation (MRFI). This fish-rearing facility is located near the town of Clements. This hatchery was built by the East Bay Municipal Utility District (EBMUD)-to mitigate for the loss of access to historical spawning areas resulting from the construction of Camanche Dam. It started

operation in 1965. The hatchery is operated by DFG. The cost of rearing mitigation fish (100,000 salmon or steelhead) is paid by EBMUD. Its present annual production goal is to raise 2 million 30/lb (fingerling) chinook salmon and 40,000 yearling steelhead. The cost of rearing the non-mitigation fish is paid by Salmon Stamp money and DFG.

Merced River Fish Facility (MRFF). The most southerly hatchery is located about 5 miles east of the town of Snellina. It was constructed with Davis-Grunsky funds by the Merced Irrigation District (MID) to enhance runs in the Merced River which had been depressed for many years. The facility has been in operation since 1971. It is operated and primarily funded by DFG with partial facility maintenance paid by MID from the Davis-Grunsky account. The annual production goal is 0.3 million 8-10/lb (yearling) and 0.4 million 70/lb (fingerling) fall-run salmon.

During the late 1950% and early 1960's, artificial spawning channels were believed to be a viable method of producing salmon. A few spawning channels were built in California including ones on the Feather and Mokelumne rivers, and at the large Tehama-Colusa Fish Facility (TCFF) which was built in conjunction with the RBDD project.

Although artificial spawning channels work for some species of Pacific salmon, they have not been successful for chinook. All of the above spawning channels have been converted to rearing ponds. Very small spawning channels with short raceways for rearing may have a limited potential.

I-2-C Citizens' Participation

Citizens play a major part in the management of salmon and steelhead in California. The popularity of salmon and steelhead can be measured both by the sport and commercial fisheries and by the public interest in nature films, books, magazines, and nature education. A recent survey conducted for DFG found the vast majority of the public to be interested in protection of fish and wildlife. Salmon and steelhead are associated by the public with clean natural streams and have been widely considered a mark of a healthy environment.

Commercial fishermen's and sportsmen% organizations take an active part in debates over harvest regulations and frequently participate in hearings and negotiations over land and water project mitigation and restoration. They have shown strong support for government funding for restoration and enhancement programs.

The following is a list of some of the principal private groups involved in salmon and steelhead restoration and management in the Sacramento-San Joaquin river system:

1. United Anglers of California
2. California Sportfishing Protection Alliance
3. American Fisheries Society
4. California Striped Bass Association
5. California Trout, Inc.
6. Sacramento River Preservation Trust
7. Salmon Unlimited
- a. San Joaquin River Systems Committee
9. Trout Unlimited
10. Upper Sacramento River Salmon & Steelhead Advisory Committee

I-2-D Regulation of Salmon and Steelhead Catch

Ocean. The U.S. Secretary of Commerce (USSC) annually promulgates regulations governing the sport and commercial take of salmon in the Exclusive Economic Zone (EEZ) which is the 3-200 mile offshore zone where most of the utilization of California% salmon occurs. The Secretary's regulation making decisions are based on the "salmon plan" developed by the Pacific Fishery Management Council (PFMC). The FGC has the responsibility to establish regulations for the sport catch in State waters (the 0-3 mile area offshore which is inside the EEZ), and works with PFMC to make State and Federal regulations compatible. The Director of the DFG takes actions to conform the regulations for commercial salmon fishing in State waters with those established for the EEZ.

Salmon regulations for the EEZ are promulgated by the USSC pursuant to authority vested by the Magnuson Act (Public Law 94-265). Under this Act five regional councils have been established. The councils are responsible for the management of fish stocks in the EEZ, including salmon. The Council, which is responsible for developing salmon plans for California, Oregon, and Washington, is the PFMC. The guidelines for development of salmon plans by PFMC are found in the "Framework Plan" adopted in 1984. The management goals of the PFMC are defined within this plan, including salmon spawning escapement goals for key salmon stocks in the PFMC management area.

The PFMC uses the status of the following three key stocks to base ocean salmon regulations for California: (1) Sacramento River fall-run chinook salmon, (2) Klamath River fall-run chinook salmon, and (3) natural spawning Oregon coastal coho salmon. The current escapement goals for Klamath River chinook salmon are found in the ninth amendment to the PFMC's original salmon plan.

Each year the PFMC goes through a process for developing annual salmon regulations for the EEZ and State waters. This six stage process, conducted from January through April, is as follows:

Stage 1 - Review of previous year fisheries, sport and commercial.

Stage 2 - Development of ocean stock abundance estimates for key salmon stocks for the coming season.

Stage 3 - Development of regulation options.

Stage 4 - Public review of regulation options.

Stage 5 - Adoption of plan, i.e., regulations.

Stage 6 - Transmittal of plan to Secretary of Commerce who then promulgates regulations (the regulations are then recorded in the Code of Federal Regulations, Title 50, Chapter 6, parts 620 and 661).

The State goes through a process by which State regulations for waters 0-3 miles offshore are conformed to the PFMC plan.

The Director of the DFG has the authority to conform State to Federal regulations affecting the commercial salmon fishery under Fish and Game Code section 7652. This process requires a public hearing to receive input on how the Federal regulations would affect California fishermen, and then filing of regulations with the California Secretary of State through the Office of Administrative Law.

Ocean sport regulations in State waters are under authority of the FGC. Unlike the commercial fishery, the Federal regulations in the **EEZ** are generally brought into conformance with the State regulations promulgated by the FGC.

Inland Waters. The FGC regulates the take of all game fish, including salmon and steelhead trout, in inland waters: the authority to regulate take was given to the FGC by the Legislature (Fish and Game Code section 200).

The intent of regulations controlling the take of salmon and steelhead trout as defined in Fish and Game Code section 206 is "...to preserve, properly utilize, and maintain each species and subspecies." The procedures used by the FGC to adopt regulations are described in the following paragraphs.

Proposals to add, amend, and or/and repeal regulations are adopted by the FGC as a result of a four-meeting hearing process held in odd-numbered years. Based on this hearing process, required by Fish and Game Code section 206 and described below, proposals which are heard and adopted by the FGC become law:

1. The FCC first receives proposals for regulations from the DFG, other agencies, and the public at the August FGC meeting.

In preparation for the October FGC meeting, the DFG then has to complete an analysis on all the proposals received and support or oppose each one. The DFG prepares a more detailed analysis of the public proposals it supports and presents this analysis in the form of an "Initial Statement of Reasons." This form has to contain (1) a description of the problem and how the proposed regulation will alleviate the problem; (2) the language for the proposed regulation; and (3) a discussion of alternative proposals.

The DFG also prepares an "Environmental Checklist" of all the proposals it supports: this form provides an analysis on potential negative impacts on the environment of the proposed regulation. The checklist is required by CEQA.

2. At the October meeting, the FGC provides an opportunity for public discussion of the proposals presented at the August meeting. Representatives of the DFG have to be present at this meeting to respond to (1) questions concerning proposals from the public which it has opposed and (2) objections to regulations that it has proposed.

In preparation for the November FGC meeting, the DFG prepares an additional analysis which includes the (1) items discussed in the Initial Statement of Reasons, (2) a summarization of the public testimony heard at the October FGC meeting, and (3) a justification why a public proposal was rejected. The form used to make this presentation is called a "Pre-adoption Statement of Reasons."

3. At the November meeting, the FGC again provides an opportunity for an open public discussion of proposed regulations presented at the August meeting. Representatives of DFG are again present to respond to questions and objections from the public. After considering the public testimony and prior to adjournment of the meeting, the FGC is required to announce the regulations it intends to add, amend, or repeal.

After the November meeting, and in preparation for the December meeting, the DFG (1) prepares additional analysis of the issues discussed in the Pre-adoption Statement, (2) summarizes public testimony from October and November meetings, and (3) provides the language for the proposed regulation. The form used to make this presentation is called a "Final Statement of Reasons."

4. At the December meeting the FGC may choose to hear additional public testimony concerning the regulations it intends to adopt. Then at, or within 20 days of the December meeting, the FGC shall add, amend, or repeal any proposed regulations received at the August FGC meeting.
5. Within 45 days after adoption by the FGC, DFG shall publish and distribute the newly adopted regulations.

PART II - RIVER SYSTEMS AND RESTORATION NEEDS

II-1 Upper Sacramento River System

11-1-A Physical Environment

The Sacramento River is the largest river system in California and the State's most important chinook salmon river. The basin represents about 17 percent of California's land area, yet

yields 35 percent of it's water supply. The upper Sacramento River comprises the section from the mouth of the Feather River to Keswick Dam including all tributaries.

The Sacramento River and its numerous tributaries drain parts of the Coast Ranges, Klamath Mountains, Cascade Range, Sierra Nevada, and great valley geomorphic provinces. From its headwaters in the Klamath Mountains, the Sacramento River is a cool, clear, sparkling mountain stream. As it flows southward, it is joined by numerous small tributaries draining the metamorphic rocks of the Klamath Mountains on the west and the volcanic Cascade Range on the east. Near Redding the river is broader and slower, but below Jellys Ferry it enters Iron Canyon and forms a series of rapids (Figure 2). At Red Bluff the river enters the valley proper. Above, it is a bedrock stream controlled by the underlying geology. Below, it is an alluvial stream flowing through the Sacramento Valley controlled by its own water and sediment discharge.

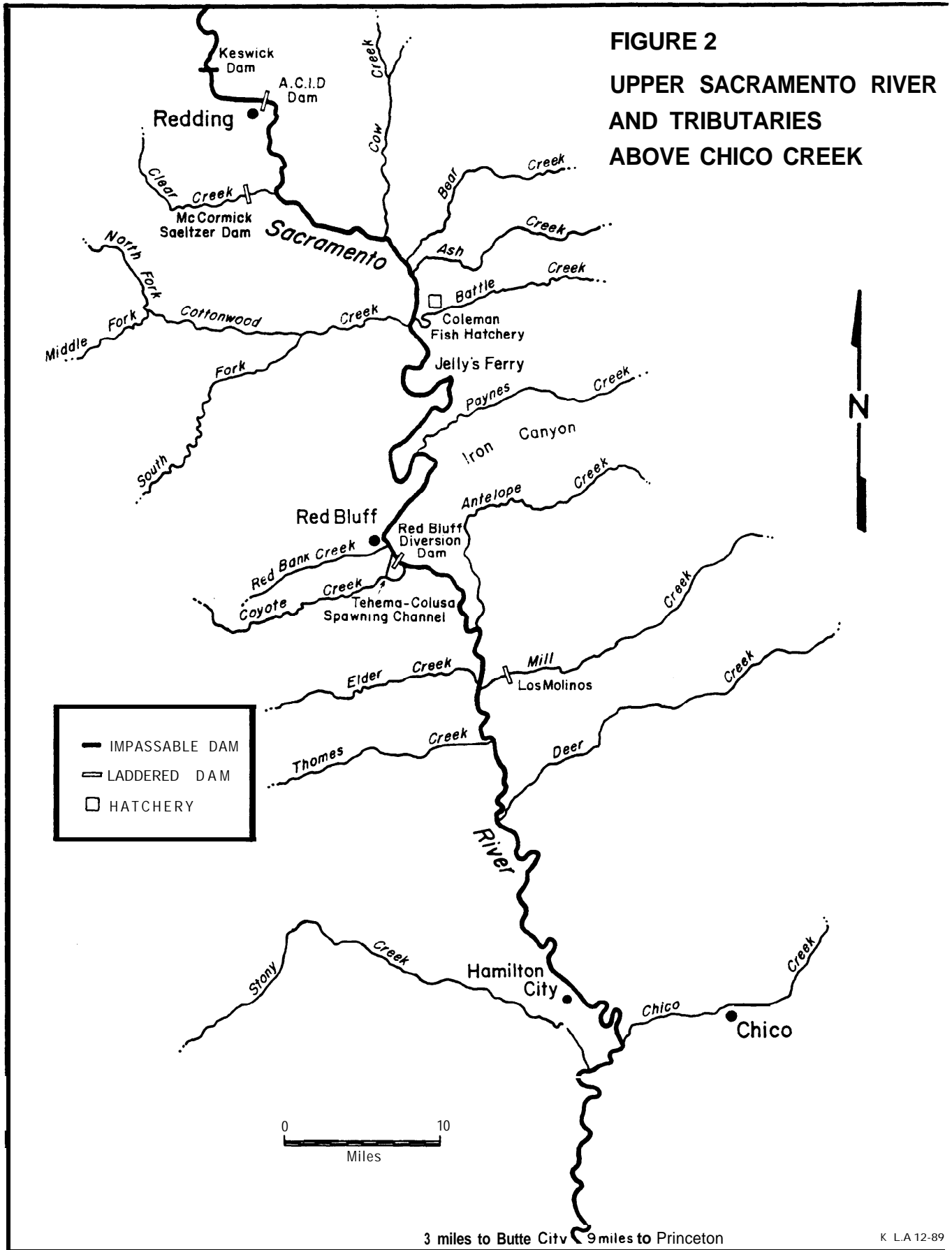
Between Red Bluff and Colusa, the Sacramento River is a typical meandering gravel-bed stream, eroding its banks on the outside of bends and depositing gravel bars on the inside. Below the town of Colusa to the City of Sacramento, the river is a sand-bed stream. Here both natural and man-made levees control the river. Normal and low flows stay in the river while flood flows are diverted through an overflow and bypass system.

Geography. The Sacramento Valley, in the reach from the mouth of the Feather River to Keswick Dam, ranges up to 50 miles wide with relief ranging from about 570 feet above mean sea level just below Keswick Dam to about 20 feet at Verona. The reach from Red Bluff to Chico Landing lies in a region of agricultural bottomlands and low, flat-topped ridges generally covered with grass, brush, and trees. Downstream, past the Sutter Buttes, the river meanders through intensively cultivated fields and the City of Sacramento and continues into the Delta. About 4 miles below Keswick Dam, the river widens to about 500 feet. Near Redding the river is broader and slower, but below Jellys Ferry it enters Iron Canyon and forms a series of rapids before entering the alluvial plains of the Sacramento Valley near Red Bluff.

Geology Above Redding the Sacramento River drains the Klamath Mountains geologic province on the north and west. Here the Klamath Mountains consist mostly of Jurassic and older metamorphic and igneous basement rocks that are generally hard and erosion resistant. Tributaries such as the Pit and McCloud rivers drain the Cascade Range on the east. The Cascade Range consist mostly of Tertiary to Recent volcanic rocks, generally tuffs, breccias, and flows.

Below Redding the Sacramento River enters the great valley geologic province. Between here and Jellys Ferry, the river flows across older alluvial deposits. From Jellys Ferry to Red Bluff, the river flows through deep, narrow Iron Canyon, a zone of recently uplifted volcanic rocks. Tributaries entering in this reach include Clear and Cottonwood creeks on the west and Stillwater, Cow, Ash, Bear, Battle, and Paynes creeks on the east (Figure 2).

FIGURE 2
UPPER SACRAMENTO RIVER
AND TRIBUTARIES
ABOVE CHICO CREEK



Below Red Bluff the Sacramento River flows over deep alluvial deposits. Near the river, Quaternary-alluvial fan deposits from tributaries surround a central belt of stream alluvium and flood plain deposits. Soils here are deep, rich, and widely used for agriculture.

On the west side, rolling valley foothills are underlain by the Tertiary-Quaternary Tehama Formation, mostly silt and clay with interbedded sand and gravel. Quaternary terrace deposits and the reddish, cemented gravels of the Pleistocene Red Bluff Formation cap the Tehama in places. Cretaceous shales outcrop along the western valley rim. Westside streams include Red Bank, Elder, Thomes, and Stony creeks.

On the northeast side, the valley merges with the Cascade Range of volcanic breccias, volcanic cones and basalt plateaus, rugged foothills, and deep stream-cut valleys. This area contains a large portion of Lassen Volcanic National Park. The lower foothills consist of erosion-resistant volcanic mudflows and stream-deposited fanglomerates. Eastside tributaries of the Sacramento River that drain this area include Antelope, Mills, Deer, and Big Chico creeks.

Tributaries draining the west side generally carry large quantities of sediment while east side tributaries carry considerably less.

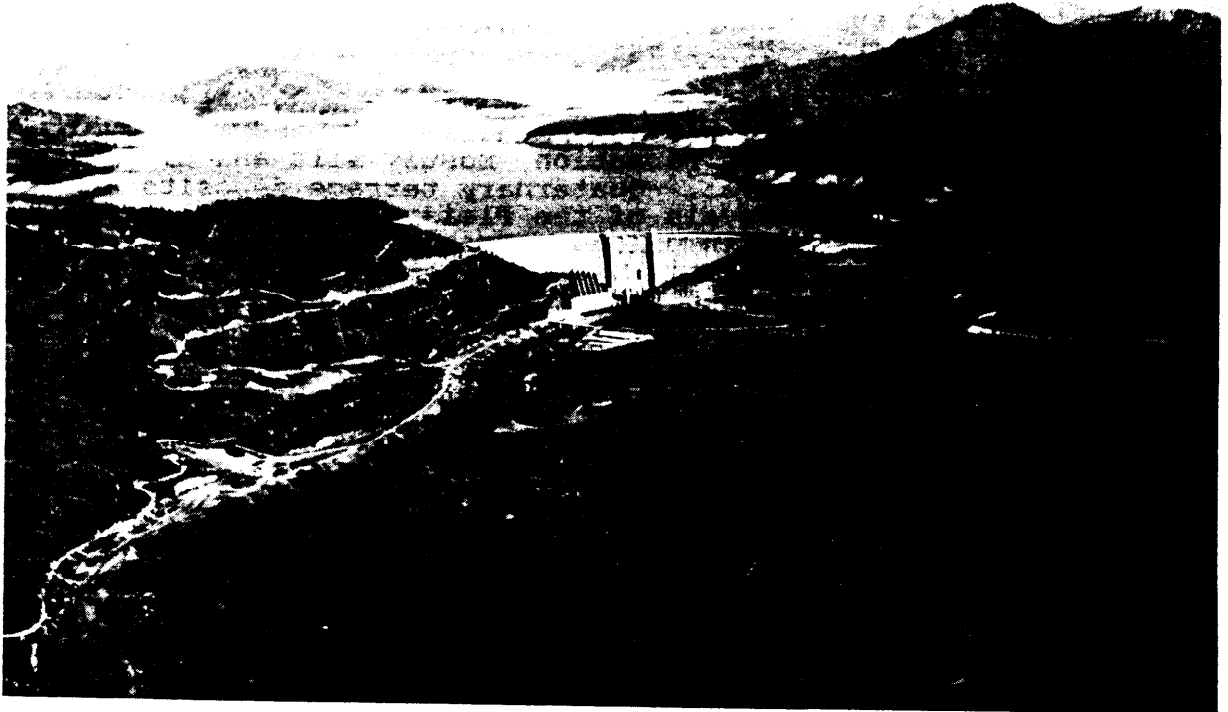
Hydrology. The climate has moderate, wet winters and hot, dry summers. Eighty-five percent of the precipitation occurs from November to April. The mean seasonal precipitation is 36 inches at Redding, 22 at Red Bluff, and 19 near Colusa however, precipitation in much of the headwater area may reach 60 inches or more.

Since December 1943 flows in the Sacramento River have been regulated by Shasta and Keswick dams. The mean annual runoff is about 6.3 million acre-feet. Since 1963 the river has received an average of over 1 million acre-feet of water from the Trinity River basin. The maximum release since Shasta Dam began operation was 81,400 cubic feet per second (cfs) on April 1, 1974. The minimum flows since 1960 have been determined by the flow agreement with the DFG (minimum 2,000 cfs) and have ranged down to about 2,300 cfs, with the lowest daily average being 2,460 cfs.

Winter flows in the river at Redding are largely determined by flood control operations during normal and wet years. Flows during critically dry years are determined by the DFG agreement.

Summer flows are generally determined by downstream needs for irrigation and at times for salinity control. Normal summer flows range from about 12,000-14,000 cfs.

Two major diversions occur in the river: RBDD immediately downstream from Red Bluff and at Glen Colusa Canal near Hamilton City.



Aerial view of Shasta Dam showing Lake Shasta
and the upper Sacramento River

11-1-B Salmon Resource

There are four distinct races of chinook salmon that spawn in the upper Sacramento River. They are named for the time period they first enter fresh water. Fall-run fish usually spawn within a few weeks of their arrival in the fall. Late-fall run spawn in the winter. The spring run spend the summer in deep, cool pools and spawn in the fall. Winter-run fish enter the river in the winter and spawn early the following summer.

Past. Both spring- and fall-run chinook salmon were abundant in the upper Sacramento River prior to Federal-State water development, although significant declines were noted by 1929. Causes of the declines were thought to include overharvest, blockage by irrigation dams (ACID during 1917-1927), and habitat degradation (railroad construction and hydraulic mining). There is limited information on the magnitude of the salmon runs prior to the construction of the CVP and the early decline of the populations. However, in 1905 the combined chinook salmon egg collection at three upper Sacramento River egg stations located off the main river represented a trapping of at least 30,000 adult spawners, which would indicate that the total from all other tributaries and main stem could easily

exceed that number by more than tenfold. Based on total catch data for the Sacramento-San Joaquin rivers, it has been estimated that the peak chinook salmon runs in the Sacramento River system may have been as large as 800,000 to 1 million fish, with an average run size of about 600,000 fish prior to 1915.

The first serious effort to determine the size of the chinook salmon run in the upper Sacramento River began just prior to the construction of Shasta Dam as part of an effort to evaluate the project's impact. Estimates of fall- and spring-run chinook are considered low for the upper Sacramento River during the preproject sampling period due to inefficient sampling.

Spring- and winter-run chinook salmon were both headwater spawners prior to the placement of Shasta Dam. The winter run was well established in the McCloud River.

Present. Beginning in 1950 complete data on the distribution of fall spawning chinook salmon became available for the Sacramento River system. A peak value of 403,000 spawners was observed in 1953, which was speculated to be the highest escapement during the 1939 to 1969 period. Based on an analysis of the DFG's annual salmon spawning stock reports from 1956 to 1985 for main stem Sacramento River (excluding tributaries), there has been about a 50 percent decline in the spawning population in the last 15 years, primarily in the reach above Red Bluff.

The first estimates of spring-run chinook salmon populations began in 1967 through counts at RBDD. These counts show dramatic fluctuations in run sizes over the last 20 years with the average annual run size being 12,600 fish. It is doubtful that the spring run on the main stem Sacramento River continues to be a truly distinct race because of the overlap of time and place of spawning with fall-run chinook salmon. This overlap resulted from the construction of Shasta Dam which forced these two races, whose historic spawning areas had been spatially separated, to spawn in the same section of stream. Genetically pure populations of spring-run salmon may exist in the upper reaches of a few Sacramento River tributaries including Mill and Deer creeks. Mill and Deer creeks spring-run populations have undergone drastic declines (80-85 percent) in the past 2 decades.

Late-fall-run chinook salmon counted at RBDD show a dramatic decline over the last 20 years. The runs during the 1980's are only one-third of those observed during the late 1960%.

Winter-run chinook salmon have suffered a severe decline since the beginning of counts at RBDD. The runs during the 1980's have been only 5 percent of those recorded during the 1960's. The decline prompted conservation groups to file separate petitions to list the winter run as a Federal "threatened species" and as a State "endangered species." In 1989 the NMFS and the FGC approved the petitions when the population declined to 547 fish. Implementation of protective actions are planned by Federal and State agencies to restore the winter run.

During the period of 1976 through 1985, the combined average annual run of chinook salmon in the upper Sacramento River including all tributaries has been 233,888.

Salmon Fisheries. During recent years the Central Valley river systems have been the origin of 90 percent of the commercial chinook salmon catch landed between San Francisco and Monterey, 40 percent of California north coast landings, and a similar percent of the Oregon landings. Of the total population upon which this harvest is based, about 50 percent of the fish originate in the upper Sacramento River.

The market value of the average size run of fall chinook salmon in the upper Sacramento River during the 1980 to 1985 period was approximately \$22 million. This is based on economist Phil Meyer's actual business revenue and profit estimates without considering estimates of income multipliers for the community at large which could increase this value by 39 percent. If the restoration efforts for the fall run were successful and the increased stock were utilized by the fishery, the market value of the production would be \$79 million annually without considering income to the community at large. The difference between the economic yield from restored and present populations shows significant benefit to the economy of communities along the coast, San Francisco Bay, and the Sacramento and San Joaquin rivers, where significant sport fishing occurs.

Potential. The long-term objectives for production of each race of adult salmon in the upper Sacramento River and its tributaries are displayed below. Restoration of chinook salmon stocks to these levels would be comparable to the levels of the 1950's. These goals are expressed as spawning escapements that are needed to maintain the sustainable catches.

The following are restoration goals for production of adult chinook salmon from the upper Sacramento River (figures in thousands of salmon):

<u>Stock</u>	<u>Escapement*</u>	<u>Stock catch</u>	<u>Ratio of catch to escapement</u>	<u>Total</u>
Fall	300	600	2:1	900
Late fall	25	50	2+:1**	75
Winter	70	42	0.6:1	112
<u>Spring</u>	<u>70</u>	<u>105</u>	<u>1.5:1</u>	<u>175</u>
Total	465	797		1,262

* Escapement equals number of spawners plus number harvested in river.

** Although the catch:escapement ratio for Sacramento River late-fall-run chinook salmon has not been ascertained, it is estimated to be substantially higher than the ratio for fall run.

The method selected for achieving the production goals should concentrate on restoring the stream environment to high productivity and maintaining high survival of smolts emigrating to the ocean. The hatchery production objective should be to augment natural production while holding competition with natural production to a minimum: loss of genetic stock should also be avoided. There is legislative intent contained in the Fish and Game Code that affirms the goal of maintaining natural habitat in a condition that allows high productivity of all types of fish and wildlife (Fish and Game Code sections 1505, 2601, 1301, 2761, 2762, 2765, 5937, 5900, 1600, and 1400). There is also FGC policy directing DFG to make natural rearing of salmon and steelhead a priority over hatchery production. Additionally, California Water Code section 1243 establishes the intent to preserve fish and wildlife resources in natural systems.

II-I-C Steelhead Resource

Steelhead trout provide an important recreation resource in California. Central Valley populations are almost entirely the result of an aggressive hatchery production program, but opportunities exist for increased natural production.

Past. There is little information on the magnitude of the steelhead trout population prior to construction of Shasta Dam.

Present. There was a notable increase in the size of the steelhead trout populations in the upper Sacramento River below Shasta after completion of the Shasta project. This may have been due, in part, to the favorable flow and temperature conditions created by the dam during the summer rearing period and the displacement of upstream populations down to the Redding area.

The estimated annual population of adult steelhead in the upper Sacramento River ranged up to 28,000 fish during mark and recapture studies conducted in the 1950%. The number of adult steelhead passing RBDD since 1966 shows a steady decline to less than 5,000 fish per year in the 1980%. In 1983 the count reached an all time low of 2,000 fish. The present steelhead stocks are predominately a hatchery product. The status of the wild stocks is largely unknown, but the numbers of late-spawning winter steelhead in Mill and Deer creeks are very low.

Steelhead Fisheries. The estimated sport catch of steelhead during studies conducted in the 1950% was as high as 11,000 fish. During the late 1960% the estimated sports catch ranged as high as 7,000 fish. These historical catches represent market values in the vicinity of \$2 million annually without considering community induced benefits. Presently, the actual counts over RBDD represent only one-fifth of the estimated sport catch during the 1950%. Thus, the present sport catch is less than one-tenth of the historical, indicating loss of significant benefits to the economy and communities along the upper Sacramento River.

Potential. The long-term objective for production of adult steelhead in the upper Sacramento River and its tributaries is

50,000 fish. Improved or expanded hatchery production may be necessary to attain this goal along with improved flow and habitat conditions. Improvement of conditions in Clear Creek could be expected to contribute significantly toward this goal.

II-I-D Natural Production

Chinook salmon natural production occurs predominantly in the main stem upper Sacramento River. The natural production of chinook salmon accounts for approximately 70-90 percent of the total run since 1967.

Steelhead production in the upper Sacramento River is predominately hatchery maintained. Most of the natural production of steelhead occurs in tributaries to the upper Sacramento River. Successful spawning in the main stem of the Sacramento River is limited by the lack of smaller-size gravel, which is principally confined to wide, braided areas in the river. Additionally, the discharge of heavy metals from Spring Creek Debris Dam is diluted to limits that are partially protective of salmon juveniles but not of steelhead juveniles (which are more sensitive).

II-I-E Hatcheries

Present and future juvenile chinook salmon production goals for CNFH are displayed below:

Chinook species	Size at release (fish/lb)	Total present number	Total future number	River distribution
Fall	90	12 million	11 million	Upper Sacramento
Late fall	40	2 million	1 million	Upper Sacramento
Winter	0	0	1 1/2 million	Upper Sacramento
Spring	0	0	2 million	Upper Sacramento

Trucking experiments using fish produced at CNFH indicate that planting fish downstream from the upper Sacramento River results in extremely poor returns to the hatchery. These returns are low enough to result in insufficient broodstocks to meet the hatchery goals. Some compromise between direct hatchery releases and trucked downstream releases will probably be developed to meet future production goals.

The steelhead population is predominately maintained by CNFH. Approximately 65 percent of the steelhead migrating past the RBDD appear, based on fish sampling at the dam, to be hatchery propagated fish. The long-term objective of CNFH is to produce and release sufficient yearling steelhead to contribute 5,000 hatchery produced adults.

II-I-F Environmental Problems and Preferred Solutions

Inadequate Instream Flow Releases from Keswick Dam. The existing flows from Keswick Dam are inadequate for salmon. The present flows are stipulated for the Shasta project in a 1960

agreement that is included as a term in the State Water Rights License #9956 dated 1972. Minimum fish flow releases described in the license are:

"Bypass or release at Keswick Dam at least:

January 1 - February 28	2,600 cfs
March 1 - August 31	2,300 cfs
September 1 - November 30	3,900 cfs
December 1 - December 31	2,600 cfs

(There is an unofficial modification of the schedule allowing a stable flow of 3,250 cfs from September through February, except during dry years when flows may be reduced.)

In practice, these stipulated flows are always exceeded during the export phase (April through September) of reservoir operations and generally during the storage and flood-control phase of reservoir operations.

The stipulated flows do not adequately protect against the dewatering of redds because stable flows are not required during the incubation periods of the four races. Typically, releases of 6,000 cfs from Keswick Dam occur during the onset of fall spawning due to requirements in the Delta and other water and power needs. As these needs diminish during the late fall and early winter, the need to store water can initiate a reduced or stipulated minimum stream flow release affecting redds of fall-, late-fall-, and spring-run salmon and rearing of winter-run juveniles. Additionally, the agreement lacks a flow reduction rate that will minimize stranding of young salmon and steelhead.

After completion of the present instream flow study being conducted for the DFG, the final flow recommendations for fish production will be developed. These recommendations will include stable flow regimes for prevention of dewatering of redds and a combination of the different flow needs for the life stages of the four races of salmon. Collectively, the early life stages of these four races are present in the river year-round and must be accommodated. Recommendations will be provided to reduce stranding of young fish during periods of flow reductions.

The flow needs could be provided through a formal Memorandum of Agreement between the USBR, DFG, and the USFWS. The new Agreement should replace the existing Agreement and be included as a term in State Water Rights License #9956.

Although fishery maintenance is not a stated purpose in congressional authorization for the Shasta project, the U. S. Department of Interior (USDI) recognized fish protection as a miscellaneous project function in a report forwarded to Congress on February 24, 1947 (USDI 1946).

Inadequate Water Quality for Fish Production. There are intermittent problems of lethal concentrations of dissolved

metals discharged into the river at Keswick Dam from Iron Mountain Mine. Releases from Shasta Dam are made to dilute toxicants from the Iron Mountain Mine: however, limited availability of dilution water has resulted in major fish kills in some years during the wet season when leaching and overflow occur. Every year the levels of metals in the river in the Redding area exceed the safe levels for salmon and steelhead as determined by toxicity tests conducted by the DFG and other workers. These chronic exposures can reduce the growth rate and disease resistance of young salmon. The existing scheme for dilution manipulation is stipulated in a Memorandum of Agreement between DFG, USBR, and the State Water Resources Control Board (SWRCB).

There is also a problem with intermittent water releases from Shasta Dam with temperatures that are lethal to incubating salmon eggs (temperatures greater than 57 F). Shasta Dam has fixed mid-level outlet to the river which can result in release of warm water from upper layers of the reservoir as it is drawn down to low levels during below normal water years. Increasing water demands on the CVP will increase the frequency and intensity of the temperature problem.

The water quality control plan for the Sacramento River basin established by the Central Valley Regional Water Quality Control Board (CVRWQCB) and approved by the SWRCB specifies a water temperature objective of 56 F be attained in the salmon spawning area. Available temperature records in the river between Red Bluff and Redding show there has been a significant failure to attain the temperature objective.

During extremely wet years a prolonged turbidity problem occurs in the upper Sacramento River long after tributary streams have cleared during the summer. Turbid silt-laden waters are stored in the reservoir and released to the river over long periods of time. Persistent turbidity can reduce the growth rate of juvenile salmon, reduce production of food items for fish, and interfere with angling.

The pollution from the Iron Mountain Mine is to be remedied by the Environmental Protection Agency Superfund Program (EPA 1986). The program calls for completion of source control features by 1991 that could reduce metal loading by up to 80 percent. Dilution manipulation would still be a required feature, but the demands for dilution water should be substantially reduced.

The temperature problem can be abated through optimum use of available cold-water reserves in Shasta Reservoir and Trinity River trans-basin diversion. This can be accomplished through structural modification of the outlet system at Shasta Dam and modification of the Whiskeytown Reservoir outlet to Spring Creek Powerhouse.

A multilevel outlet structure will allow Shasta Dam to be operated in a manner to avoid turbid water releases from the reservoir during the spring and instead release the clean water available in the upper layers.

Main Stem Sacramento River Spawning Gravels. In the river near Keswick Dam, many historic salmon spawning riffles are armored by cobbles that are large and difficult to move. Spawning gravel recruitment from upstream areas has been eliminated by the dam. The available spawning gravels in the river are derived from bank erosion (about 85 percent), tributaries, and chute cutoffs.

These sources have been reduced by gravel mining and bank protection projects. Increased gravel losses are a threat due to proposed increases in these activities.

The following are actions that could correct the lack of spawning gravels:

1. Acquire gravel-rich streambanks or deposits from willing sellers and allow them to erode into the stream.
2. Place artificial spawning habitat in suitable side-channel areas.
3. Employ alternate methods of river channel management that do not include armoring the stream banks.
4. Place additional restrictions on gravel mining in tributaries that provide valuable gravel recruitment.
5. Purchase the spawning-gravel component of the gravel mined by commercial extractors.
6. Purchase gravel rights and/or riparian lands as is under negotiation for Clear Creek.
7. Periodically place additional gravel in the river immediately below Keswick Dam for natural distribution downstream.

Fish Passage Problems at Anderson-Cottonwood Irrigation District (ACID) Dam. The operation of this seasonal flashboard dam requires that the release from Keswick Dam be reduced 3 or 4 days to allow installation, removal, or adjustment of flashboards to provide the desired water delivery rate to the canal (agreement between the USBR and ACID). These reductions, which sometimes exceed 8,000 cfs, occur during the spawning and incubation period of the winter-run chinook. As a result, winter-run fry and juveniles are stranded and killed along with other young salmonids. The flashboards are typically in place from early April to early November.

There are also water Quality impacts associated with the flow reductions including increased heating of the river at lower flow and decreased dilution of downstream waste waters. Rice-field drainage during the spring herbicide season greatly contributes to this problem.

The ACID Dam does not allow efficient passage of upstream migrating adults over the existing ladder. The ladder is a 1920% design that does not provide adequate attraction flows and it approaches the dam at a 90 angle. As a result,

mortality occurs and passage of winter-, spring-, and fall-run chinook salmon is delayed, sometimes to the point that fish have to spawn below the dam.

The following are alternatives for correcting the ACID Dam fisheries problem:

1. Reconstruct the ACID Dam to allow head adjustment without altering the Keswick Dam release. This design would incorporate an efficient fish adder. Operation and maintenance of this partially remodeled structure would be stipulated in an agreement between the ACID, USBR, and DFG.
2. Change the point of diversion for ACID Dam to Clear Creek via a release from Whiskeytown Reservoir. The increased releases would additionally benefit anadromous fish production in Clear Creek. Alternative diversion systems are:
 - a) Supply the ACID's entitlement of 400 cfs entirely from Clear Creek and thereby eliminate the Sacramento River diversion. The only economic method of diversion would be construction of 5 miles of canal from Seltzer Dam on Clear Creek. Lost power production equates to approximately 425 feet of head. The water would not represent lost yield since releases at Keswick Dam exceed minimum fish flows by approximately 300 percent during the irrigation season.
 - b) Supply only that portion of the ACID's entitlement that is needed to prevent flashboard adjustment at the Sacramento River diversion. This could be accomplished by pumping from Clear Creek into the ACID's canal for limited periods during the start and finish of the irrigation season. The instream flow recommendations for Clear Creek restoration would probably provide most of the needed flow for the canal with little fishery impact to lower Clear Creek during the summer period.
 - c) Install and operate pumps to supply the District's entitlement from the Sacramento River and eliminate the necessity of operating the dam during critical salmon production periods.
 - d) Construct a new fish ladder with adequate fish attraction and passage flow.

Coleman National Fish Hatchery: Insufficient Compensation for Shasta Project Impacts. Over 187 miles of stream that had spawning habitat capable of supporting 118,000 salmon were blocked by Shasta Dam. The estimated run above the dam at the time of project construction was 60,000 salmon, excluding winter run. Later counts indicated that the salmon run was on the increase from previous declines; therefore, the 60,000 figure was below the normal annual population number. The Shasta Salmon Salvage Plan goal, however, was officially established at 25,000-26,000 fish, including those produced by artificial propagation: this plan was part of the 1949

agreement between USBR and USFWS. The current production goal for CNFH of 18,650 returning adult spawners is 41,350 salmon less than the estimated run of 60,000 fall spawners.

In 1949 the USFWS identified features of the Shasta project that they thought would offset the tremendous loss of spawning and rearing habitat: these were increased fall flow and cooler water temperatures below the dam. Since 1949, however, long-term impacts have manifested themselves, including: lost gravel recruitment, armoring of riffles in upper river reaches, dewatering of redds during winter flow reductions, increased summer and fall water temperatures with increasing demands for seasonal reservoir yield, and continued fish mortality from insufficient dilution of acid mine drainage.

The Plan also called for the construction of propagation facilities for handling up to 30 million spring-run chinook salmon eggs at Darrah Springs in addition to the construction of the Keswick Fish Trap. An alternate and less expensive mitigation plan was attempted including propagation of spring run at CNFH and hauling trapped spawners to Deer Creek. Both of these mitigation measures completely failed due to unsuitable water temperatures at CNFH and habitat conditions in Deer Creek that limit population size.

In 1949 a Memorandum of Agreement between the USBR and the USFWS waived the USBR obligation to carry out any further elements of the Salmon Salvage Plan with the exception of maintaining the Keswick Fish Trap. Under this Memorandum the USFWS accepted responsibility for all operational funding of the hatchery with the exception of the power supply.

The following are a collection of actions that could lead to offsetting net Shasta Project annual fisheries losses of approximately 100,000 returning adult chinook salmon:

1. Implement the CNFH Development Plan (a USFWS plan to upgrade Coleman fish production facilities).

Funding for improvement and operation of CNFH, and construction and operation of a new hatchery satellite at a suitable location, along with other necessary modifications of the Shasta project, should be provided in a long-term contract similar to all other cooperative projects and hatcheries in California. Similarly, the hatchery could be operated by the DFG along with all other mitigation hatcheries in California.

2. Fix the Keswick Fish Trap to operate at higher river flows and reduce stress on fish. It is questionable whether or not winter-run chinook salmon can be handled successfully in the trap.
3. Build additional hatcheries at sites that have suitable water available without the necessity for chillers and locate farther upstream than CNFH. Hatchery operations at the upper end of the river would better distribute the fish for sport fishing and natural spawning. Siting a new hatchery on Clear Creek, for example, would be superior to

improvements at Battle Creek because: (1) cold water is available from Whiskeytown Reservoir; (2) there are no upstream hatcheries and their associated disease problems in the watershed; and (3) water use is compatible with other purposes, such as restoring 16 miles of anadromous fish habitat on Clear Creek and providing water for solving the ACID problem (discussed separately). A potential suitable site has also been identified at Keswick Dam.

4. Restore adversely affected habitat for spring-run chinook salmon on Deer Creek to increase natural production. Deer Creek continues to have inadequate flows below diversions identified as affecting spring-run salmon nearly 50 years ago. Mill Creek also offers habitat rehabilitation potential and a restoration project should be developed.

Inadequate Instream Flows and Insufficient Screening of Diversions On Important Tributary Streams. The streams having the most problems and the best restoration potential include: Battle, Mill, Deer, Clear, Antelope, and Butte creeks. In the springtime, agricultural diversions entrain juvenile outmigrants and fail to provide sufficient water for downstream passage to the ocean. During the fall and spring upstream migration and spawning periods, some agricultural diversions are barriers, and hydroelectric diversions on Clear and Battle creeks do not provide adequate flows for spawning and rearing.

The following are solutions to the widespread problems in upper Sacramento River tributaries:

1. Instream flow studies have been completed on Clear Creek and will soon be completed on Battle Creek. Recommended stream flows for fish production based on these studies should be included in the FERC license for the Battle Creek project and the Water Rights Permit for the Clear Creek project. Restoration would be directed toward fall- and spring-run chinook salmon and steelhead. Providing just a 30 percent increase in the annual flow of Clear Creek, as recommended in the instream flow study, will provide 16 miles of restored anadromous fish habitat. By subtracting the small additional Whiskeytown Dam release from the Keswick Dam release, the project would not consume significant conservation storage.

There are limited opportunities to procure stream flow bypass on the diversions on the other tributaries due to the age of the existing water rights. Minimum flows could possibly be achieved by petitioning the SWRCB to reopen the license for these diversions. Alternately, flows could be provided through the use of water transfers or groundwater pumping to provide for the needs of the water diverters while maintaining flow conditions necessary for production of fish.

2. Adult salmon passage problems can be eliminated through construction of new ladders or replacement of ineffective ladders on diversions on Butte, Clear, and Deer creeks. Mill Creek has three diversion dams which could be

consolidated into two diversion dams. This would reduce the migration delay caused by barriers and provide a significant amount of spawning area previously inundated by a dam. Seasonal augmentation of flows could be provided through conjunctive water use for both fish and wildlife.

3. Install modern effective screens on Mill, Battle, and Butte creek diversions and obtain needed bypass flows for the Antelope Creek screens. Other major Sacramento River tributaries, such as Cow and Chico creeks, should be thoroughly surveyed to identify barrier or screening problems.

Water Quality Problems on Tributaries. Sediment discharges are extremely high in some tributaries to the detriment of salmon and steelhead production. During development activities on either private or public land, the best management practices should be required for sediment control. The DFG should work cooperatively with the Soil Conservation Service, CVRWQCB, California Department of Forestry, and county and local government to achieve reduction of sediment discharge from private lands.

Gravels made unusable due to compaction resulting from sedimentation can be temporarily restored or replaced by stream channel modifications including: ripping gravels with heavy machinery, placement of catchments for gravels, installation of hydraulic control structures to flush compacted gravels, and construction of spawning riffles.

Red Bluff Diversion Dam Causes Passage Problems for Both Adult and Juvenile Salmonids. RBDD and the Tehama-Colusa Canal justified in large part by the salmon enhancement benefits that was anticipated from the TCFE and planned water turnouts in Thomes and Stony creeks. According to a 1967 USFWS report, the combined production from the single purpose and dual purpose channels was to be 37,000 spawners, including 3,000 that were displaced by Lake Red Bluff. In addition, water turnouts to Thomes and Stony creeks were to support 5,000 and 15,000 salmon, respectively. The economic benefits expected from an additional 54,000 salmon were instrumental in justifying construction of the dam and canal for irrigation purposes. A recent report prepared for the Citizen's Advisory Committee on Salmon and Steelhead Trout in consultation with DFG contains estimates that RBDD has resulted in an annual loss of 114,000 chinook salmon and 6,000 steelhead. Extended over the life of the project, the loss of salmon to date is estimated to be 2,508,000 adult fish.

The Tehama-Colusa spawning channels have not worked because of a myriad of problems, and the turnouts to Thomes and Stony creeks were never implemented. So, not only wasn't the promised enhancement ever realized, the project created very serious passage problems for both adult and juvenile salmon.



Aerial view of Red Bluff Diversion Dam and
the headworks of the Tehama-Colusa Canal

Adult salmon are delayed below the dam and a portion never pass. Blockage or excessive delay of winter-run chinook salmon forces spawning below Red Bluff where eggs are exposed to lethal water temperatures during the summer incubation period. Delay time for fall-spawning fish reduces reproductive success due to reduced egg viability, overripe females, and prespawning mortality. The length of the delay increases with higher flow due to difficulties associated with finding the fishway. After operation of RBDD began in 1966, the proportion of salmon spawning below Red Bluff significantly increased over the pre-project condition.

The DFG studies have indicated that survival of downstream migrant juvenile salmon and steelhead that do not pass the dam is, respectively, 46 and 25 percent greater than those that must pass through the dam. The turbulence below the dam disorients the fish and increases predation on juveniles. Other documented losses of juveniles result from entrainment into the Tehama-Colusa and Corning canal intakes due to ineffective screens.

A 5-year Fish Passage Action Program for RBDD problems was initiated by the involved fishery and water agencies in October 1983. The program was developed to identify and implement corrective measures.

The following are partial solutions to the RBDD fish passage problem and are being implemented:

1. Raise gates during the non-irrigation season (December through March) to provide direct upstream passage of adult winter run and downstream passage for juvenile fall run.
2. Complete and test screening of Tehama-Colusa Canal headworks.
3. Reduce predation through alterations of the dam gates, operations procedures, and construction of a new fish passage structure.
4. Implement solutions identified in the Fish Passage Program presently under development.
5. Monitor implemented solutions and continue studies to solve any remaining problems.

A complete and thorough follow-up report will be needed on RBDD and TCFF. The report should document the expected level of enhancement which was not met, and define the problems caused by the dam and associated facilities. A cooperative State and Federal plan for correcting the problems and providing the resource restoration and enhancement benefits promised needs to be developed and implemented.

For example, fish passage problems would be lessened if the RBDD gates were raised for a greater length of time: alternate water supplies could include pumping part of the year and/or tributary storage developments on Red Bank and South Fork Cottonwood creeks.

The Tehama-Colusa Fish Facility Fails to Meet Production Objectives. The lake impounded by RBDD inundates a spawning area that formerly accommodated approximately 3,000 salmon. The loss of this habitat was to be mitigated by the Single Purpose Spawning Channel portion of the TCFF. There are temperature, algae, and sediment problems that have intermittently reduced the capabilities of this facility to meet its production goals. Opening of the RBDD gates for passage of winter-run chinook salmon presently means forgoing water delivery to the TCFF and precludes rearing of fish during the spring months.

An additional production goal to provide 54,000 fall-run adult salmon was established as an enhancement and mitigation feature and was incorporated into the overall feasibility and cost analysis for the project. The production of salmon in the Dual Purpose Canal at the TCFF as originally anticipated is not now expected to occur. Results of experimental use of this canal-like spawning facility indicate many major problems would

need to be solved to salvage its usefulness. It could be used as a rearing facility, and a net benefit of 5,000 adult salmon may result over planting the fish directly from CNFH.

It appears necessary to keep the gates open at RBDD for winter-run chinook salmon passage. Even with the gates closed, the TCFF has not proven feasible for spawning, incubation, or rearing. The Single Purpose Canal should be mothballed and the Dual Purpose Canal should be abandoned.

Production of fish in the upper Sacramento River should be increased over existing levels to provide the mitigation and enhancement that were promised with the RBDD facility. Spawning gravel could be salvaged out of the Dual Purpose Canal to complement in-river spawning. Hatchery production could be increased by construction of facilities at Keswick Dam or Clear Creek and increased production at CNFH.

Mortality at Unscreened Diversions and Problem Screens on the Sacramento River. Between the cities of Redding and Sacramento there are over 300 diversions on the Sacramento River. In total the diversions take over 1.2 million acre-feet and unknown numbers of juvenile fish annually from the river. Small fish are extremely vulnerable to entrainment in large diversions that are located near the streambank.

Only four diversions on the main river have fish screens: of these, two are adequate. The Glenn Colusa Irrigation District (GCID) operates one of the largest diversions (3,000 cfs), and it has screen bypass deficiencies and overall design deficiencies. The RBDD screens are being improved.

Interagency coordination and funding should be established to inventory the water diversions, including their design, location, and construction. A uniform screening policy and specification should be established among agencies and the DFG. Installation or reconstruction of screens should be accomplished at private diversions with assistance from public funds. The diversions currently under permit with the U.S. Army Corps of Engineers (USCOE) should require full installation and maintenance of facilities to comply with adopted screening specifications.

Adult Salmon Stray into Irrigation Systems and Flood Bypass Drains. Fall-run chinook salmon enter the large Colusa Drain outfall at Knights Landing as well as canal systems and waste gate outfalls on tributaries including Stony, Butte, and Chico creeks: and other streams.

A program to construct and maintain barriers at the Colusa drain outfall and, where possible, on other drain discharges needs to be funded and implemented.

Bank Protection Decreases Rearing Habitat and Increases Predation. The USFWS has surveyed rearing habitat in the river between Red Bluff and Chico. Important rearing habitat includes natural eroding banks, edges of gravel **bars**, small backwaters and eddys close to laminar flow, and structures in the river where laminar flow occurs. The placement of riprap

bank protection eliminates these important rearing areas adjacent to natural banks and gravel bars. In addition, the riprap provides cover for fish species that prey on juvenile salmon and steelhead.

Where bank protection projects must occur, the best way to limit the associated habitat loss is to use the palisade-type structure instead of riprap. Habitat loss caused by riprap bank protection can be partially mitigated for by the following measures:

1. Construct the project at a slope of 5:1 or 6:1 with placement of gravel between rock rubble on an annual basis.
2. Provide escape cover structures on the riprap by cabling logs or trees to the bank.
3. Allow erosion of natural gravel banks and only riprap areas where absolutely necessary for public safety.
4. The preferred solution is to limit bank protection activity to that which is absolutely necessary: and, if possible, establish a meander belt which, even if levied, would permit no permanent developments.

11-1-G Enhancement Opportunities

Upper River Gravel Improvement. There are substantial deposits of gravel suitable for salmon and steelhead in pool areas, high flow channels, and gravel bars along the upper Sacramento River. This gravel represents the remnant of gravel that was in long-term transport down the system prior to Shasta Dam. The portion of this gravel that would normally have been in sites suitable for salmon spawning has been carried downstream or deposited on areas only inundated during the highest flows. Replacement of this gravel in excess of the needs for restoration of historic runs could constitute enhancement.

Improvement could be accomplished through frequent deposition of the smaller-size component of gravel needed by salmon and steelhead in areas historically used for spawning. More permanent gravel deposits can be created by installation of retaining weirs. Complete restoration of the historic runs will require use of most of the areas suitable for this method of habitat improvement.

Hatchery Production. There are potential hatchery sites along the upper Sacramento River and on the major tributaries. Of the tributaries, Clear Creek appears to have the greatest potential for an enhancement hatchery. Beyond the production necessary for restoration of the historic runs, a hatchery could result in significantly increased economic benefits from both the ocean and inland fisheries.

PART III - ATTAINING THE GOALS

III-1 Restoration and Enhancement Needs

Major losses of salmon and steelhead production that resulted from the disregard and degradation of the Sacramento-San Joaquin river system persist. Naturally reproducing populations continue to be depressed throughout most of the system.

Several unique races of salmon and steelhead have been severely affected by dam construction. Spring-run chinook salmon in the upper San Joaquin River were eliminated by Friant Dam. The American River spring-run steelhead was extirpated and the fall-run steelhead, which

provided a fishery beginning in September in the American River, was severely decimated by Nimbus Dam. The fisheries these races supported have been partially replaced in the American River by fall-run chinook and a late-run of steelhead from the Eel River.

Winter-run chinook salmon in the upper Sacramento and Calaveras rivers have been adversely affected by Shasta, Red Bluff Diversion, and New Hogan dams. Spring-run chinook salmon in the upper Sacramento and Feather rivers have been confined to the same spawning grounds used by fall-run chinook and have hybridized with them: the mixing of these races which spawn at the same time has probably greatly reduced the number of pure spring-run chinook in the Central Valley. A pure strain of this race hopefully still occurs in Deer and Mill creeks. In these streams spring-run chinook are able to migrate to their historical spawning areas which are at higher elevations, spacially separated from fall-run salmon.

Overall production of the stream sections still available to salmon and steelhead can be improved through a variety of methods. The minimum requirements for salmon and steelhead production are Water quality and quantity, suitable spawning and nursery areas, migratory corridors which provide the most direct route to the ocean for juveniles and to spawning areas for adults, and reasonable protection from overharvest.

Hatchery production can be employed to augment natural production and has been used to offset lost natural production. When brood stock is carefully chosen, hatchery production can also be used to maintain or rebuild depleted races. This may become the only means of maintaining any significant populations of spring-, late-fall-, and winter-run chinook salmon.

The hatchery system of the Central Valley has helped maintain the fall-run chinook salmon fishery and supported a steelhead fishery in the American River and lesser fisheries in other parts of the Sacramento River system and the Delta. Restoration of fish population through hatchery production alone necessitates we recognize some risks: vulnerability to massive disease losses due to confining of fish to hatchery and the loss of genetic integrity of some races. The threat of disease increases as the dependence for maintenance of a run on artificial propagation increases. If present trends continue, habitat losses and interactions with hatchery-produced chinook may result in the disappearance of the winter-run, late-fall-run, and spring-run chinook salmon. The prudent alternative for restoring and maintaining the Central Valley salmon and steelhead resources is to utilize extensive restoration of environmental conditions to maximize natural production in combination with carefully planned hatchery augmentation.

Restoration of habitat lost as the result of land or water development requires modification of the project and concessions on the part of the developer. For this reason, significant restoration of habitat is a complex process of evaluation, arbitration, and reconciliation. Successful methods of habitat restoration include maintenance of water quality and quantity during critical life stages, improvement of spawning gravels and nursery habitat, and development of safe migratory corridors.

111-1-A Water Quality and Flows

Achievement of water quality objectives for salmon and steelhead in California is largely dependent on the quantity of water available. Temperature, turbidity, and non-point pollution sources are most easily controlled by flow regulation. Also, flow is necessary to dilute point sources of pollution where on-site control is not currently in effect, such as Iron Mountain Mine. The volume of instream flows are important considerations for maximizing fish production with available water supplies. These factors must not only be considered for fish passage needs, but also for the instream residency requirements of the fish.

"The survival of aquatic life in surface waters subjected to a waste discharge or other controllable water quality factors, shall not be less than that for the same water body in areas unaffected by the waste discharge..." This objective for inland surface waters is from the Water Quality Plan Report of the SWRCB and the CVRWQCB. This objective of no loss of aquatic resources due to water quality impacts clearly supports FGC policies as they relate to salmon and steelhead. The maintenance of quality habitat to allow recovery of salmon and steelhead resources is essential if the goal of restoration is to be realized.

Perhaps the most critical water quality element for the successful recovery of the anadromous salmonids in the Central Valley is temperature. Historically, the fish were able to migrate upstream to areas with constant supplies of cool water. Now, however, the dams restrict the fish to low elevations where they are susceptible to lethal water temperatures, particularly in dry and critically dry water years. This situation is exacerbated by irrigation schedules which demand large quantities of water in spring and early summer. These irrigation demands deplete the cool water stored in most reservoirs which is needed by salmonids in late summer and early fall. The key to solving the water temperature problems at the various reservoirs is to achieve sufficient releases of cool, late-spring, late-summer, and early fall flows to allow anadromous fish a safe passage to and from the spawning grounds.

Reserving cool water for storage through the summer may be partially achieved by developing off-stream storage south of the Delta so that winter flood flows can be held there for south valley irrigation and domestic supplies. Operationally, this could allow increased upstream storage of cool water for release later in the year. Installation of temperature control structures on any reservoirs that do not currently have them would allow the dam operators to select or save cooler water that would be needed in the late summer and fall.

Temperatures critical to salmon inland life history are as follows:

<u>Critical Factor</u>	<u>Degrees Fahrenheit</u>
Spawning	42-57.5
Incubation	53-57.5
Preferred rearing	53-57.5
Maximum growth	54-60
Growth ceases	65-69
Lethal	77

Depending upon the river reach and the timing for each life stage of each race of chinook salmon, the objectives for various river reaches will differ. However, to ensure growth and to control the virulence of common fish diseases, rearing temperatures must be maintained below 65 F, and in no case should water temperatures be allowed to reach 77 F. For incubation of eggs, 56 F must not be exceeded.

Other water quality parameters such as heavy metals, turbidity, dissolved oxygen, etc., are thoroughly addressed in the Water Quality Plan Report. The attainment of these objectives would benefit salmon and steelhead and should be strongly supported.

Flow objectives, like temperature, will be specific for each river to maximize salmon and steelhead production. Generally, however, flows must be sufficient to allow successful immigration and spawning of adults and incubation, rearing, and emigration of smolts to the ocean.

Migration. Flows must be sufficient to allow fish to safely pass all critical riffles, fish ladders channel bifurcations diversions, or any other obstruction they may encounter during their upstream migration.

Spawning. Successful spawning flows are determined by depth **and velocity** of water over the spawning beds. The general goal for spawning habitat, therefore, is a water depth of 1.5-3.0 feet and a velocity of 1.0-3.5 fps. Winter-run chinook salmon spawning preference may significantly vary from this standard.

Incubation. Flows must be sufficient to maintain water over the redds with a velocity less than that which would displace the gravels. Intergravel flow should be at least 26 feet per hour to provide adequate oxygen and removal of metabolic wastes.

Rearing. Water flows needed for successful rearing must be sufficient to allow the young fish in shallow water areas to escape predation and, also, sufficient to raise aquatic invertebrates which the young fish rely for food. Each river will require different flow regimes to attain these goals.

Emigration. Successful downstream migration of Salmonid smolts **is critical** for the restoration of wild stocks of salmon and

steelhead. The flows must be sufficient to carry the fish past all major diversions. Each river will require a different flow regime to maximize smolt survival. In some cases, such as the San Joaquin River system, the timing of flow releases in the tributaries may be coordinated in a manner to provide a cumulative downstream flow increase (i.e., time the fish flow releases from all storage reservoirs to coincide with one another).

111-1-B Salmon and Steelhead Losses to Water Diversions

Losses of Juveniles. Losses of juvenile chinook salmon, and to a lesser degree steelhead can occur at all water diversions. The losses become smaller as fish progress out of the Delta into the wider bays further downstream.

The magnitude of loss at any given diversion depends on a complicated set of relationships which include the size of the fish, the timing of their migration, and the volume and velocity of the diversion in relation to the flow continuing past the diversion.

For example, evaluations at the CVP and SWP screened Delta intakes provided combined loss estimates as high as 2.3 million juvenile chinook salmon during 1986. Studies at the PG&E power plants in the estuary during a comparable period, however, showed juvenile chinook salmon and steelhead losses were relatively minor but still significant. These power plants are located further downstream where the direct effects of the facilities are small in relation to the volume of water from which they draw.

The losses at the Federal and State projects are most significant to young salmon from the San Joaquin River system, although fish diverted from the Sacramento River by the Delta Cross Channel are also lost. There are both direct and indirect losses at the facilities. The indirect losses of fish diverted from their normal path are due to predation, emigration delays, stress, and high water temperatures.

To reduce juvenile fish entrainment in the Delta, the DFG and the NMFS are consulting with the USBR and DWR regarding modifications of facilities and operations of the CVP and the SWP pumps. Ultimately both State and Federal projects should be modified to utilize a common intake or intakes with fish screens and sufficient bypass flows.

The current trapping and trucking practice at the Delta pumps, as at some other diversions, should only be considered a stopgap or supplemental measure.

Also, the DFG is attempting to set up field crews in 1990 to identify, evaluate, and implement remedies to fish entrainment at water diversions.

Increased flows, pumping curtailments, adequate screens, and appropriate operating criteria are the solutions to these problems.

Losses of Adults. The direct loss of adults at water diversions is relatively insignificant, however, the indirect effects can be significant. Salmon migrating up the San Joaquin River have been shown to experience substantial delays when flows are low, and low dissolved oxygen and/or high water temperatures result. These delays are significant since the timing of migration is crucial if the adults are to reach the spawning gravels in healthy enough condition to spawn successfully.

A somewhat different problem exists for Sacramento River upstream migrants which can be detoured through a greatly lengthened pathway by rerouted water flows. Operations of the State and Federal pumps can cause Sacramento River fish to enter the San Joaquin River side of the Delta due to their attraction to Sacramento River water which is drawn across the Delta by the pumps. They then migrate up the Mokelumne River system but can be blocked if the Delta Cross Channel gates are closed after this migration began. Many will then fall back downstream to Georgiana Slough and begin a delayed migration. Some fish may stray to the Mokelumne or Cosumnes rivers.

Adult migrants also face the problem of irrigation dams that retard their progress and return canals that empty confusing mixes of water into the main channels. As power and irrigation projects have *increased, water is diverted from one stream, then released back into another stream, channel, or slough. Where this happens, progeny from the stream where the original diversion occurred will be attracted during their adult migration to stream, channel, or slough where the water is released (assuming the practice of diverting from one stream and releasing into the other is ongoing). In these instances the adult fish are attracted into a stream or channel which may be unsuitable for spawning of adults or for the later rearing of juveniles.

Positive outflow from the Sacramento and San Joaquin rivers, minimize mixing of the flows above Threemile Slough, and maintenance of unobstructed migration corridors should be provided through statutory and mechanical procedures.

111-1-C Spawning Habitat

In the Sacramento River drainage most salmon spawn in the main stem and in the largest of tributaries, while steelhead spawning tends to take place primarily in the tributaries. Spawning salmonids exhibit a preference for certain water velocities, depths, and gravels. Typical redd construction sites are in swift, shallow areas at the head of riffles. As a general rule the larger races of fish choose spawning locations of higher water velocity and coarser gravel than smaller fish.

The DFG has authority under several Fish and Game Code sections to protect spawning habitat and participate in the regulatory function of other agencies. Fish and Game Code section 1505 directs the DFG to manage, control, and protect spawning areas in certain streams on State-owned land. These appear to include much of the prime salmon spawning habitat in the Sacramento-San Joaquin river system. The principal effect

of this section is to give the DFG authority to prevent dredging or other instream work that would be harmful to fish habitat. The usefulness of the action, however, has been limited by uncertainty regarding the extent of State ownership of the streambed in the various spawning streams.

Additional regulatory authority over streambed alterations is given to the DFG in Fish and Game Code section 1601. In general, these sections require public and private agencies to notify the DFG if they are going to divert, modify, or change the natural flow or level of any river, stream, or lake that has fish or wildlife resources. The DFG can suggest modifications in such projects to protect resources.

Gravel Improvement. Some methods for improving Salmonid spawning gravels are: (1) cleaning silt and fine sediments from existing spawning gravels, (2) instream gravel placement, (3) gravel replenishment, or (4) creating artificial spawning channels. Implementation of these methods requires careful planning and design by experienced or specially trained technicians. The following are brief discussions of several gravel-enhancement techniques:

1. Gravel cleaning may be appropriate in some streams where all conditions are present for successful spawning except that the gravels contain large amounts of fine silts. The gravels may be "cemented" and impossible for the fish to move. This condition can be alleviated by mechanical means. The gravels may be ripped with crawler tractors and spike rippers. This allows the finer sediments to be separated from spawning-size gravels and moved downstream by a flushing flow. There are also mechanical screening devices that separate fines from usable gravels and then deposit the silts off site. Both methods work fairly well, but may have only a short-term benefit.
2. Instream gravel placement may be appropriate in some locations where gravel Quality has deteriorated. This approach requires that it be feasible to import spawning-size gravels and place them in areas where they can be expected to remain and be used by salmon or steelhead. The process involves careful consideration of hydrologic parameters to locate and design the most useful structure.

The DFG has an inventory of 29 possible sites on the Sacramento River. Of these, six have excellent potential. Construction at one site has been completed and a second has been scheduled.

Other streams have been investigated for spawning habitat restoration or enhancement. Clear Creek enters the Sacramento River near the city of Anderson, and if a secure increased water flow and appropriate lands can be obtained, this stream offers excellent spawning habitat improvement opportunities. All three major tributaries in the San Joaquin River drainage have potential for this technique.

3. Gravel replenishment may be the feasible approach to replacing depleted spawning gravels. Where the

construction of a dam has occurred, natural replenishment of gravel from upstream areas has been interrupted. Gravels from upstream of the dam may be transported by truck or railroad and stockpiled below the dam. Under the proper conditions the gravels can be placed in the channel for distribution by the flows. Appropriate size gravels placed in the channel can then be successfully used by the fish if water depth and velocity are kept at optimum levels during the spawning and incubation period.

4. Artificial spawning channels have generally been unsuccessful in California. Their chief success in other west coast areas appears to have been for salmon species other than chinook.

Spawning gravels will become covered with silt if an adequate filter system, flushing velocity, or settling basin is lacking. Spawning fish may not distribute evenly in the channel, or prespawning mortality of adults may be excessive if conditions are not acceptable to the fish and/or they exhaust themselves trying to escape the system.

None of these problems are insurmountable. However, while there may be some locations where artificial spawning channels are feasible, it must be recognized that the cost of solving problems with this method may exceed the value derived from increased production.

Gravel Protection and Replacement. Authority under Fish and Game Code section 5653 also allows regulation of suction dredging and this authority should be reviewed for adequacy. Sections 2014 and 5650 provide for action against pollution and littering. The DFG also cooperates with Regional Water Quality Control boards to control pollution.

The California State Lands Division should be repetitively affirmed the position that all stream channels in the system shall be construed to be under State control until otherwise litigated, and to provide DFG with a map depicting all stream channels legally held other than by the State. The DFG should continue to register strong opposition to any project detrimental to salmon or steelhead spawning or nursery habitat and require any feasible alternative available to avoid such damage. Where damage occurs, full restitution (on site if possible) should be required through administrative or litigative action by the DFG (Fish and Game Code section 12015).

The construction of large dams has interrupted the transport of course sediments which replenish spawning areas. Bank protection and levee construction has also reduced the recruitment of gravels. The result has been a reduction of available spawning areas upstream of major tributaries or a meandering river channel. The DFG has been evaluating methods of adding new gravels to depleted areas. Early efforts had limited success while more recent attempts seem to be working well. In 1988 and 1989 the USBR provided funds to the DFG to continue this effort. One of the challenges for the future is to find adequate gravel sources. Possible sources may be from

rivers upstream of the dam that is causing the problem. Another source is from areas that are below those used for spawning.

Structural Barriers to Migration. Every river and most large tributaries in the Sacramento-San Joaquin drainage that support spawning populations of salmonids have a portion of their watershed blocked by dams. Some of these barriers are low enough that fish passage structures are provided to allow upstream access. Other barriers remain impassable. Some of these impassable dams have fish mitigation facilities in conjunction with the dam complex to replace the wild fish production lost above the barrier. Other dams do not provide for any fish mitigation. When the DFG has the opportunity to review the operation of any dam, the status of anadromous fishes affected by the barrier should also be reviewed and recommendations made for appropriate mitigation measures. It is appropriate to require mitigation for lost access to spawning habitat above Englebright Dam on the Yuba River, Goodwin Dam on the Stanislaus River, La Grange Dam on the Tuolumne River, Crocker-Huffman Dam on the Merced River, and Friant Dam on the San Joaquin River. Although laws were not in place, or not clearly interpreted to require mitigation when the projects were first constructed, any renewal or modifications should be subject to the current laws.

There sometimes are problems at barriers that have fish passage facilities. Adult salmonids may have difficulty locating the fish ladder. Stream flows may be too low for fish to reach the dam location. Because of water diversion at the dam, water temperatures in the stream below may be too high to support anadromous fish. Progeny of fish, that have migrated past a barrier and successfully spawned, might be lost into water diversions that have ineffective fish screens.

Every effort should be made to identify and correct fish passage problems at dams. Application for a new barrier structure must be reviewed and provide in its operating scheme for anadromous fish passage. The DFG should continually review existing structural barriers and require modification of operating procedures or facilities at structures that adversely affect fish passage.

Gravel Recruitment. Spawning gravel recruitment is affected by interception at large dams, commercial gravel extraction, and by bank erosion protection practices. As an example, the DWR determined the gravel budget, an accounting of the general gravel inflow and outflow for the Sacramento River between Keswick Dam and Hamilton City, and concluded:

1. Shasta and Keswick dams now trap all coarse materials originating upstream. Approximately one-third of the pre-dam bed load is now denied to the Sacramento River below Keswick Dam.
2. Gravel extraction, primarily in tributary streams, is a thriving business in this river reach. Almost 2 million cubic yards of potential spawning gravel material are removed annually.

3. Approximately 85 percent of the gravel recruitment comes from bank erosion in the main stem river. Bank-protection practices, typically riprapping, reduce this natural recruitment process.
4. The river system is in dynamic balance, i.e., gravel inflow and outflow are about equal. Any increase in gravel extraction or in bank protection over present levels will result in a reduction in spawning gravel.

These conclusions are typical for rivers in the Central Valley.

Without gravel replenishment from above dams or from bank erosion, gravel beds in the river tend to armor with large cobble and rock, and usable gravels are swept downstream. The same logic that is used to require mitigation for spawning habitat lost above an impassable dam should also justify mitigation for spawning habitat lost through gravel deterioration below a dam. Such mitigation should be gravel replacement with retention structures to reduce gravel erosion.

The conflict between commercial gravel operations and salmon spawning gravel needs is difficult to resolve. There is little area for compromise. Every effort must be made to keep gravel mining operations out of stream beds, and existing commercial operations should be monitored closely to see that these activities have a minimum effect on salmonids. Replacement or compensation must be required for any deterioration of gravel quality or loss of habitat caused by gravel mining.

In some stream reaches, bank protection is slowly eliminating the principal source of spawning gravel. Except for public health or safety, riprapping or other forms of bank protection that prevent gravel recruitment should not be allowed. Instead, the DFG and cooperating agencies should require other measures of bank protection. For example, levee setbacks and/or allowing the stream to meander within 100 yards or wider buffer strips can achieve equivalent public benefits while protecting fisheries. Gravel deposits accessible to the river or the spawning-gravel component should be purchased in fee or easement.

111-1-D Riverine Rearing Habitat

Until the early 1970's very little was known about riverine rearing habitat needs and it was not assumed to be a problem. Recent information suggests, however, that rearing habitat may be as important, or more important, than spawning habitat.

Newly emerged salmon fry attempt to seek out moderately shallow, slack water areas. In controlled flow streams this type habitat is encroached upon by riparian vegetation and is eventually lost as the channel becomes more U-shaped. This type of encroachment is common on the Sacramento River above Cow Creek, a reach of the river that has suffered the greatest declines in habitat.

As the young salmon grow and move down river, they begin to prefer steep, cut banks and the cover of exposed roots. This

type of habitat, which frequently forms as a result of bank cutting, is a prime candidate for riprap bank protection. Recent studies have shown that young salmon largely avoid riprap areas, and predators favor those areas. In some cases attempts have been made to modify the riprap banks to include a relatively flat slope on part of the bank where small gravel is placed. Studies to determine if this type of modification is effective are not conclusive.

As the young fish move further down river past Knights Landing, they encounter summer water temperatures **that** may become lethal. Some of the temperature increase is due to return flows from agricultural drains, and some is due to the loss of dense riparian forest which once shaded the channel. There is evidence of substantial summer warming near the City of Sacramento which may reduce the juvenile salmon rearing capacity of the river.

III-1-E Hatcheries

Chinook Salmon. Estimates of the contributions of Central Valley hatcheries to the Statewide ocean catch of chinook salmon have ranged from 16 percent in 1986 to 40 percent in 1983. The variation seems to be related to the success of natural reproduction, with poor survival of naturally produced juveniles occurring during dry spring conditions. Almost all Central Valley hatcheries can demonstrate success in maintaining the runs in their rivers and supporting significant inland fisheries. This success is partly due to the results of various coded-wire tagging studies that led to increased survival of hatchery fish and partly due to an increase in the magnitude of the overall hatchery program. Studies have shown that rearing chinook to advanced fingerling (30/lb) size and trucking those fish to the estuary gives about the same return to the fisheries (about 4 percent) as rearing those fish to yearling (10/lb) size for release above the Delta. Because advanced fingerlings are one-third the size of yearlings, a hatchery can produce almost three times as many. Trucking advanced fingerling (50/lb) chinook to the estuary also gives far better returns (1-2 percent) than planting those fish in the river (0-1 percent). Recent studies have as goals the identification of the optimum planting location in the Bay. Partial tag returns to date indicate the best planting location may be in the upper Bay because overall returns are the same as planting at the Golden Gate and planting expenses are substantially less. Another partly completed study indicates that planting at night gives better returns than daytime planting.

Hatchery production goals for Feather River, Nimbus, and Mokelumne River hatcheries have been set at rearing as many healthy 60/lb advanced fingerlings as possible, trucking smolts to the Bay to provide growing room, and planting all of their production into the Bay when the salmon reach 30/lb. FRH has not been able to meet its goal because of a lack of trucking capacity and has planted yearlings in the Feather River each year. The MRFF and CNFH do not share these goals because their desired result includes maximum return to the hatcheries.

Steelhead. Over 90 percent of the Central Valley's steelhead (trout over 15.4 inches fork length) are derived from hatcheries. Coded-wire tagging studies using Feather River and Nimbus hatchery steelhead yearlings have shown that increased survival of planted yearlings is most closely related to increased size at planting; optimum size for hatchery production seems to be from 3-5/lb. Planting Feather River yearling steelhead in the estuary leads to greater returns to the fishery overall, but results in greater straying rates to the American River with lower returns to the home stream. Feather River steelhead yearlings are planted below Yuba City to avoid harvest of juveniles by trout anglers during their outmigration in the Feather River. Time of planting may also increase survival, but normally steelhead yearlings must be planted prior to April 1 to make hatchery space available for rearing chinook salmon fingerlings. Steelhead from NFH are planted in Carquinez Strait. Steelhead yearlings reared at MRFI survive well, but return as adults mainly to the American River. Hatchery programs are maintaining runs of 15,000-20,000 steelhead in both the American and Feather rivers. The USFWS is testing other measures, such as trucking CNFH yearlings for planting downstream as far as Princeton.

The hatchery needs of the Central Valley for salmon and steelhead are:

1. A new hatchery in the upper Sacramento River near Keswick Dam to be used to rebuild winter- and spring-run salmon and steelhead. A primary goal of the new hatchery would be the long-term maintenance of the unique, genetically pure races of winter- and spring-run salmon which are indigenous to the upper Sacramento River.
2. A large hatchery on the Tuolumne or Stanislaus rivers to rear salmon and steelhead for the San Joaquin River system. Minimum capacity should be 2 million yearlings and 600,000 advanced fingerling salmon, and 500,000 yearling steelhead. This hatchery will create a base for the low runs of salmon and thereby raise the high runs by fully utilizing the habitat in wet years. It will also create the opportunity to establish new runs of spring-run salmon and steelhead.
3. Modernization and expansion of CNFH and NFH.
4. Added rearing capacity at NFH for steelhead to produce another 100,000 3/lb yearlings for planting in March.
5. A firm source of eggs on the Mokelumne River. Mokelumne River hatchery has frequently had to rely on eggs from Nimbus or Feather River hatcheries. One means of assuring an egg source would be to ensure that spawning adults can return to the hatchery. Increased instream flows for migration are needed from Camanche and Pardee reservoirs.

III-2 - Studies and Evaluations

Studies have been carried out by the DFG, DWR, Department of Parks and Recreation, the Department of Boating and Waterways, and their predecessors relating to salmon and steelhead populations and habitat.

Federal agencies including the **USFWS, NMFS, USBR, USCOE**, the United States Forest Service and their predecessors have also carried out similar studies. The studies have ranged from original biological surveys, through assessment of the effects of hydraulic mining and subsequent channelizations, to the present assessment of Delta water needs and the status of the Sacramento River winter-run chinook salmon. There still remains many gaps in our knowledge of our salmon and steelhead resources and how best to restore, enhance, and manage for future generations. Continued monitoring of those fishery populations, including their utilization and their life history needs, is essential to our management efforts.

111-2-A Monitoring of Fish Populations

Delta Diversion/Flow Effects. Studies on the effects of the CVP and the SWP are being conducted by the Interagency Ecological Study Program for the Sacramento-San Joaquin estuary. These studies are intended to demonstrate the direct and indirect effects of flows and diversions on outmigrant chinook salmon. The initial study emphasis was on the Sacramento River system, and although those studies are continuing, work in the San Joaquin River system recently commenced in cooperation with DFG's Region 4.

Results of the studies to date have been presented to the SWRCB at their ongoing Delta hearings.

Direct Losses of Salmon and Steelhead. Direct losses of salmon and steelhead at the State and Federal pump intakes in the south Delta are estimated from fish salvage data gathered at the State facility. Salvage records are maintained by the operators at the two facilities under the scrutiny of a DFG biologist. These records are used, along with the results of experiments with marked fish, to estimate the direct losses.

Indirect Losses of Salmon and Steelhead. Estimates of indirect losses are constrained by a lack of information regarding the size of outmigration in each river. Studies to date have documented. **the** relative loss attributable to the various locations and factors, but estimates of the actual numbers lost cannot be made with any precision. Quantification of the loss will require an additional study to determine the magnitude of the juvenile population entering the Delta on any given year. Mitigation for indirect losses resulting from the SWP and CVP pumps should not await this quantification.

Upper Sacramento River Monitoring. The RBDD adult fish count is an ongoing study conducted year-round in the fish ladders. Personnel of the USFWS and the DFG jointly conduct the counts. The USFWS enumerates fish moving through the fish passage facility during daylight hours. The DFG samples a portion of the daytime run to identify the proportions of the four races of chinook salmon present makes night counts for night correction factor,* and adjusts the counts for angler-catch to obtain an estimate of spawning escapement.

A procedure is needed for counting fish during periods **of** high and turbid flows or whenever the dam gates are raised and the ladders are not operating.

We suspect that we are underestimating angler catch, therefore, overestimating escapement. The present estimates of angling mortality are based on surveys made during the mid-1970%. The nature and distribution of fishing effort has changed significantly in the interim. An intensive angler survey on the Sacramento River is being set up for implementation in the fall of 1990. A similar angler survey will be needed in the future within the San Joaquin River system.

Aerial flights over principal spawning areas are made during the spawning period of each chinook salmon ~~rat~~. These are always conducted on the main stem Sacramento River and also include some tributary streams. The location, distribution, and density of spawners is noted on maps during each flight. This information is used to evaluate the effects of water projects or construction upon salmon spawning: to help describe the effects of physical conditions upon salmon and to assist in estimating the proportions of spawning salmon in the main stem Sacramento River above and below RBDD.

The flight schedule for fall-run chinook salmon is every 2 weeks. Usually one flight per season is made for late-fall- and for spring-run salmon. Some flights permit the collection of relatively little information because of poor visibility due to bad weather or turbid water. Weekly observations during peak spawning periods would increase the probability of accurate estimates.

The principal estimate of spawning stock escapement above Red Bluff is derived from the counts at RBDD described above. The estimate of the segment of the run spawning in tributary streams, however, is made by carcass surveys. Previously only three tributaries, Mill and Deer creeks below RBDD and Battle Creek above RBDD, have been examined with sufficient frequency to obtain escapement estimates. Several additional creeks above Red Bluff have annual runs of chinook salmon and have been included in the annual carcass survey beginning in 1989.

Another reason the tributary surveys are included is to correct the annual estimate of chinook salmon spawning in the main stem Sacramento River below RBDD. This estimate is made by proportional comparison with the area above RBDD. The ratio of known count past RBDD to percent of total river redds above RBDD is compared with the ratio of unknown count below RBDD and the observed percent of total redds. The estimate is biased because the estimated number of fish using the main stem above RBDD includes fish that actually spawned in tributaries and were not subtracted from the mainstream population.

Each spring, in cooperation with the USFWS, the DFG makes adult fish counts at fishways in dams on the lower reaches of Deer and Mill creeks. In some years the USFWS does a diving survey in the summer residence area, and DFG conducts a carcass-recovery program in the principal spawning areas. In future years, adult counts will be made with state-of-the-art electronic fish counters.

Part of **the State's responsibilities for the** winter-run chinook salmon recovery program is to monitor the angler **catch in fresh water to assure a sufficient** number of adult winter-run chinook survive to spawn. The DFG has conducted a census in the river reach from Knights Landing to Red Bluff during the months April through June. The census will be included in the newly implemented Sacramento River angler survey.

Another part of the winter-run chinook salmon studies is to find out where and when spawning takes place. Weekly helicopter flights from May through mid-July are made over the river from the mouth of Deer Creek to Keswick Dam. Data collected during the observations of spawning distribution are used to evaluate the effects of raising the RBDD gates for fish passage and to maintain a relative index of the spawning population.

The DFG will try to capture, tag, and release wild winter-run chinook salmon fry to monitor their contribution to the ocean catch and other factors in their life history.

Fall-run chinook salmon and steelhead are tagged at CNFH on Battle Creek. This is a cooperative effort between the USFWS and the DFG to evaluate CNFH production. Date of release, size of fish at release, and location of release, as well as other aspects of hatchery production, are being investigated.

Other studies needed to adequately monitor salmon and steelhead fisheries in the upper Sacramento River include:

1. A tagging study with reward tags that determine harvest rate and location of catch should be conducted, since there is no current watershed-wide census of steelhead anglers. Because over 75 percent of the steelhead counted past RBDD are of CNFH origin, it is of paramount importance to the fishery that hatchery steelhead production be successful. Success of the fishery includes the need for adequate escapement back to the hatchery.
2. New angler catch statistics from the entire Sacramento River system are needed for adult salmon and steelhead. No coordinated census program has taken place since the mid-1970's. Over the years the nature of the fishery has changed, i.e., the number of anglers, boat ramps, fishing guides, and resorts have all increased. The Sacramento River angler survey was recently implemented.

Red Bluff Diversion Dam Evaluation. Based on results of the previous studies conducted at RBDD, the USBR, USFWS, DFG, NMFS, and DWR initiated a 5-year Fish Passage Action Program in 1983 to develop methods to improve upstream and downstream anadromous fish passage.

The USBR immediately began a program. The USFWS is performing the field studies and will propose recommendations. The primary concerns are: (1) mortality to juvenile salmonids migrating downstream past RBDD, and (2) **delay of upstream** migrating adult salmonids below RBDD.

Studies concerning possible causes of downstream migrant Salmonid mortality include:

1. Determination of losses attributable to diversion into the Tehama-Colusa and **Corning** canals and evaluation of the new intake screen.
2. Measurement of direct injury from passing under the dam gates or through the fish louver bypass facility.
3. Evaluation of predation resulting from concentration of predaceous fishes and birds in Lake Red Bluff and immediately below the dam.
4. Measurement of delay of juvenile salmonids in Lake Red Bluff during downstream migration.

These studies are ongoing and measures identified to improve juvenile fish passage will be implemented as they are developed.

Studies of possible delay of migration of adult salmonids at RBDD are continuing. A program to determine optimum operation of RBDD for upstream passage of adult salmonids is being conducted by USFWS. Principal goals are to identify **the** effects of gate operations and flows on fish behavior. Chinook salmon are radio tagged and their movements monitored under different operation scenarios. Winter-run chinook salmon are also being radio tagged to monitor their behavior in relation to the raised dam gates from December through April.

The NMFS was investigating a proposal to help solve juvenile Salmonid predation at RBDD. An attempt was made to develop a commercial fishery for squawfish that would not be detrimental to adult salmon and steelhead. However, dioxin concentration in resident squawfish prohibit human consumption.

The DFG participates in predation evaluation through cooperative coded-wire tag programs using CNFH produced salmon. Part of these juvenile salmon and steelhead programs required marked-fish releases above and below RBDD. This study's major goal is to discover the best place to release fish to maximize survival as well as return to the hatchery.

The DFG also helps evaluate the effect that raising the RBDD gates has upon winter-run chinook salmon. Aerial surveys are **conducted over the river to identify the location and abundance** of spawning winter-run fish both above and below RBDD.

The 5-year evaluation program ended in October 1988. While progress has been made in improving upstream and downstream fish passage at RBDD, additional investigation and solutions are needed. Work that should be continued includes:

1. Evaluation of predation upon juvenile salmonids. Predation is still by far the major source of downstream migrant mortality at RBDD. It remains unknown if, or under what dam operating conditions, predation becomes a problem in the lake created above RBDD. We suspect, but do not know

to what degree, predation occurs in the RBDD fish ladders. Further efforts to reduce these losses could result in considerable benefits to the resource.

2. Evaluation of dam gate manipulation for adult fish passage. More work is needed before operational changes at RBDD can optimize fish passage. River conditions have not been sufficiently variable during the present studies to test all critical spill conditions. Several experimental designs remain to be evaluated.

Evaluation is needed to determine the effects of raising the gates during the non-irrigation season for winter-run chinook salmon adult fish passage. Results from a modest evaluation effort made during the first year the gates were raised were encouraging. Further investigation will be required each year to assure that significant benefits are being realized for the winter-run chinook.

3. Investigation of a special dam gate modification for downstream migrants. Evaluate the use of an overflow weir in Gate 11 that will reduce the tendency of steelhead to take temporary residence above the dam.
4. Evaluate potential for Gate 6 fish ladder. An additional fish ladder in mid-river demonstrated promise for reducing delay of dam passage by adult salmonids. Evaluation should be made of any ladder configuration proposed or installed.

111-2-B Habitat Studies

The habitat requirements of juvenile outmigrating salmon and steelhead in the Sacramento-San Joaquin rivers and Delta are not fully understood. Information has been developed on water temperature needs and spawning flow requirements. However, the overall requirements for rearing and outmigration needs further investigation.

The USFWS has conducted limited evaluations to the fishery value of natural river bank habitat versus stabilized riprap banks. Since extensive reaches of the main river channels are already riprapped, further evaluations are needed to assess current impacts and, if appropriate, develop alternatives which recreate rearing habitat and cover while maintaining bank and levee integrity.

Steelhead populations have not been able to sustain significant levels without extensive hatchery supplementation. Further research into habitat requirements and habitat availability to understand the continuing decline of naturally produced steelhead and to correct those habitat deficiencies are needed.

The DFG will begin an evaluation by monitoring hatchery-reared steelhead yearlings by releasing representative lots of fish with reward tags. Returns will be obtained from anglers, creel census crews, and field sampling.

III-P-C Instream Flow **Quantification studies**

With the onset of water projects in California, stream flows for fish began to diminish in order to meet water demands or provide flood protection. In most cases, inadequate flow releases were agreed upon for political reasons or for lack of knowledge about instream flow needs. Today our knowledge on instream flow needs has increased substantially. Through the use of standard hydraulic simulations of various waterways combined with extensive data files on micro-habitat use of various life stages of fish, we are able to quantify habitat conditions provided at various increments of flow. Studies employing state-of-the-art methodologies, have been completed or are underway on both main stem rivers and nine of the larger tributaries.

Upper Sacramento River Instream Flow Study. The study is designed to provide information which will guide development of USBR modifications of flow releases from its project facilities to optimize fisheries values on the river. The study is designed and funded by DFG and DWR.

The study area extends from Redding to Hamilton City on the upper Sacramento River. This area is most directly under the influence of the USBR project and is of primary importance to chinook salmon. The tributaries are not included because they are the subject of other studies. The study area has been segmented to best account for incremental flows from the major tributaries, as well as for various project features and major diversion points.

The primary study objective is to develop a model for upper Sacramento River chinook salmon spawning and rearing habitat requirements. Greatest emphasis is placed on the fall and winter runs, but an attempt will be made to gather information on all races of salmon, as well as other species, if the opportunity presents itself. Information gathered on habitat preferences will be used in a habitat simulation model. Measurements of flow and stage will be made at one river discharge, with at least two more stage measurements to allow the prediction model to be used. The results of the investigation will be prepared in a report and a series of curve charts, which will allow the agencies to reach their own conclusions and provide a basis for comparison to other study results. Diurnal changes will not be included, and information on seasonal changes will be restricted by the limited presence of the fish and the ability to sample under high-flow conditions.

Spawning habitat preference information will include water depth, velocity, cover, and substrate. Rearing habitat preference will be collected by free diving and will also include water depth, velocity, cover, and substrate.

The separate segments of the river to be evaluated are:

1. Hamilton City to Tehama (S1) 30 miles - Chosen because of sinuosity and slope changes.

2. Tehama to Red Bluff (S2) 14 miles - Changes in river width, slope, flow, sinuosity, and bank and bottom composition.
3. Red Bluff to Cottonwood Creek (S3) 30 miles - Flow change, change into canyon-type river, slope and change in bottom materials.
4. Cottonwood Creek to ACID (S4) 25 miles - Slope, bank and bottom type changes, flow and channel morphology changes.

San Joaquin River Studies. The major problem facing the San Joaquin River fisheries is flow depletion. Along with this problem, the entrainment of fish in water diversions: the outflow of agricultural, domestic, and municipal return water: and high temperature can create a lethal condition for salmon populations. Present studies include evaluation of variations in reservoir release patterns and survival of outmigrants through the system.

Needed additional or expanded studies include:

1. Development of flow standards to maximize spawning for adult salmon and incubation for survival of juvenile salmon.
2. Development of flow volumes to provide access for adult salmon to spawning grounds and to MRFF. The study would develop strategies to prevent straying of upstream migrants into drainage channels and canals.
3. Development of a comprehensive spawning and rearing habitat restoration program. The study would entail thorough identification and quantification of existing and potential habitat.
4. Evaluation of legal flow constraints and opportunities for improved water releases. The study would identify all opportunities for flow augmentation, water-use transfer, and improved flow timing.
5. Identification and quantification of water diversions and associated fish losses.
6. Evaluation of available data concerning the effects of drain-water constituents on anadromous fish.

111-2-D Hatchery Use Evaluation

Hatchery production has played an important role in the maintenance of salmon and steelhead production throughout the Central Valley. With the legislative directive in Chapter 1545/88 to double the current natural production of salmon and steelhead, DFG must examine current hatchery practices and how hatchery production interacts with a naturally produced populations. Therefore, during the next 10 years DFG will develop a monitoring system, through the expansion and coordination of existing programs, that will better determine the role of hatcheries in the overall management of Central Valley salmon and steelhead resources.

PART IV - PROPOSED ACTION

xv-1 - Organization

The DFG is charged with preservation and management of California's fish and wildlife for the public benefit. Where Federal lands or projects, or interstate or international fisheries are involved, agencies such as the USFWS and NMFS share this responsibility. The fish and wildlife agencies are mandated to protect and wisely manage fish and wildlife resources and be the advocates for those resources.

To accomplish its objective of conservation of the State's salmon and steelhead resources, DFG efforts involve the Inland Fisheries Division (IFD), the Wildlife Protection Division (WPD), and the Environmental Services Division (ESD). Each of these divisions is assigned separate but related responsibilities.

The IFD is responsible for fisheries management. This includes: (1) fish habitat restoration and enhancement, (2) species preservation, (3) development and operation of fish protection and production facilities, and (4) provide technical assistance to the FGC, WPD, and ESD. To accomplish its duties, IFD works with all levels of government as well as colleges, universities, and private groups.

The WPD is responsible for enforcement of the provisions contained in the Fish and Game Code and California Administrative Code Title 14, and for certain Federal regulations pertaining to fish. The WPD may consult with the other divisions for advice relative to these enforcement responsibilities. Development and enforcement of laws to protect salmon and steelhead requires WPD to work closely with the FGC and with other State and Federal enforcement agencies.

The ESD works to protect and maintain wildlife resources and habitats in conjunction with development and use of California's lands and waters. Its primary task is to coordinate DFG review and response to regulatory agencies on proposed projects, plans, and policies that affect wildlife resources. This is accomplished through submission of written comments and recommendations; meeting and negotiating with agencies; and participation in public hearings before various governmental boards, commissions, and regulatory agencies. Most data which supports DFG positions is provided by the divisions of Wildlife Management, Inland Fisheries, and Marine Resources. Data and information concerning instream flow requirements, water quality, and toxicants are developed by ESD and IFD.

The DFG's activities relative to salmon and steelhead are funded through fishing license sales, fees, Federal cost-sharing programs, propositions approved by popular vote, special legislated funds for resource restoration and enhancement, and mitigation funds provided by land and water project sponsors.

In addition to DFG's central office in Sacramento, there are five regional offices throughout the State. Of these, four regional geographic subdivisions have operational responsibilities in the Sacramento-San Joaquin river drainage. Each of these regional offices includes a wildlife protection, environmental services, and inland fisheries staff to carry out DFG's mandated activities. Within each

region the responsibilities for salmon and steelhead conservation are shared and coordinated with the appropriate staff from the headquarters office.

IV-2 - Sacramento-San Joaquin River System Management Criteria

The Sacramento-San Joaquin river system, including the Delta and bays, shall be managed for maximum production of salmon and steelhead adult fish for long-term public benefit. Commercial and sport fishing are recognized valid uses of this resource and shall be encouraged for the maximum utilization consistent with long-term perpetuation of all identified naturally occurring races and populations of salmon and steelhead. Consistent with this intent are the following specific management criteria:

1. Natural production shall be given priority over artificial production relative to management of salmon and steelhead resources.
2. Salmon and steelhead shall be managed for perpetuation and restoration of all endemic and naturally occurring races or populations.
3. DFG policy on interbasin transfer of salmon and steelhead shall be adhered to in all transfers of eggs or fish.
4. Improved water conditions shall be actively pursued, and benefits in one area shall not be exchanged for net losses in another area.
5. Full restoration of salmon and steelhead populations shall be sought from all detrimental projects.
6. Publicly operated fish-rearing facilities shall be employed when necessary for fisheries restoration when they are of short-term duration (temporary) and are more economical than State-operated facilities.
7. Hatchery expansions and new constructions shall be employed where there are no workable habitat restoration or enhancement opportunities and where it can be shown to be compatible with the natural production and maintenance of endemic stocks.
8. Hatcheries shall be operated under a genetic stock management plan to perpetuate and restore naturally occurring endemic stocks and provide the broadest seasonal distribution of fish in the river system.
9. Hatcheries shall be operated in a manner consistent with DFG goals described in this plan.

IV-3 - Position on Issues

The following are Department of Fish and Game position statements concerning specific issues affecting Sacramento-San Joaquin river salmon and steelhead:

IV-3-A Salmon and Steelhead

It is the policy of the Fish and Game Commission that:

- I. To maintain an adequate breeding stock, suitable spawning areas, and provide for the natural rearing of the young to migratory size. Hatchery production shall be limited to areas where it is necessary to supplement natural production in coastal streams.
- II. That resident fish will not be planted or developed in coastal steelhead and salmon streams, except after prior Commission approval (a) where the stream is no longer adaptable to anadromous runs, or (b) during the mid-summer period in those individual streams considered on a water-by-water basis where there is a high demand for angling recreation and such planting or development has been determined by the Department not to be detrimental to the anadromous species.
- III. That salmon and steelhead may be rescued whenever the water supply in a stream is inadequate to maintain fish life.

IV-3-B Steelhead Rainbow Trout

It is the policy of the Fish and Game Commission that:

- I. The steelhead rainbow trout in California is recognized as a valuable resource with strict environmental requirements and a limited range.

Steelhead waters include all streams or stream sections accessible to steelhead along the California coast and in the Sacramento-San Joaquin river drainage above the Delta, and such other waters as the Commission may designate.
- II. The greatest fishery value of this resource is its potential to provide recreational angling for sea-run fish. Management shall be directed toward providing such angling and maintaining a vigorous, healthy resource. Angling for juvenile steelhead will be restricted to the extent, necessary to insure optimum spawning stock and angling opportunity for sea-run fish.
- III. Resident fish will not be planted or developed in steelhead waters. Resident fish will not be planted or developed in drainages of steelhead waters, where, in the opinion of the Department, such planting or development will interfere with steelhead populations. Programs on threatened or endangered species, within the species natural range, are excepted.
- IV. California's steelhead resources are largely dependent upon the quality and quantity of habitat. Because of damage and threats to this restricted habitat, emphasis shall be placed on management programs to inventory and protect and, wherever possible, restore or improve the habitat of natural steelhead stocks.

- V. The Department shall seek prevention or alleviation of those aspects of projects, developments, or activities which would or do exert adverse impact on steelhead habitat or steelhead populations. All available steps will be taken to prevent loss of habitat, and the Department shall oppose any development or project which will result in irreplaceable losses of fish.
- VI. The Department shall develop and implement plans and programs to improve the protection of steelhead habitat including, but not limited to, assessment of habitat status and adverse impacts, land-use planning, acquisition of interests in streams threatened with adverse developments, and research on effects on habitat changes caused by activities such as overgrazing, gravel extraction, logging, road construction, urbanization and water development.
- VII. The Department shall develop and implement programs to measure and, where appropriate, increase steelhead population size and angler use and success, consistent with the objectives of providing quality angling and maintaining a healthy resource.
- VIII. Artificial propagation of steelhead, except for mitigation, shall be for the purpose of improving angling for sea-run fish, and should include strains or varieties of steelhead which have the greatest potential to contribute to recreational angling. Artificial production of rearing and stocking programs shall be managed so as to produce minimal interference with natural Salmonid stocks, and such programs shall be periodically reviewed to assess their effects on these stocks.
- IX. Juvenile steelhead rescue shall be limited to instances where habitat conditions are temporarily inadequate to maintain fish life and when suitable rearing **areas are** available with the capacity to rear rescued fish to smolts without impairment of other steelhead populations. Rescue should be undertaken only in special circumstances involving large numbers or steelhead of special significance.
- X. The following streams or stream sections are deleted from the steelhead waters described in Item I of this policy:
 - 1. Big Lagoon, Humboldt County.
 - 2. Stone Lagoon, Humboldt County.
 - 3. Arroyo Seco Creek, Monterey County.
 - 4. Nacimiento River, San Luis Obispo County.
 - 5. North Fork Battle Creek, Shasta County, upstream from Manton.
 - 6. Cow Creek, Shasta County, upstream from Fern Road and Ingot.

7. Middle Fork Cottonwood Creek, Shasta County, upstream from Platina.
8. Antelope Creek, Tehama County, upstream from Ponderosa Way.
9. Beegum Creek, Tehama County, upstream from Beegum.
10. Deer Creek, Tehama County, upstream from Highway 32 at Windy Cut.
11. Mill Creek, Tehama County, upstream from Hole in the Ground Camp.

IV-3-C Publicly Operated Rearing Programs for Salmon and Steelhead

It is the policy of the Fish and Game Commission to:

- I. Support the utilization of the State's salmon and steelhead resources for public rearing programs, within the following constraints:
 - A. Only those fish surplus to the needs of the Departments programs shall be utilized for such programs.
 - B. The suitability and acceptance or rejection of proposed programs shall be determined by the Department.
 - C. Priority of allotment of available surplus fish among acceptable programs shall be based on past performance on existing programs and the Department's evaluation of the potential of proposed new programs.
 - D. Routine care and food costs shall be the financial responsibility of the sponsoring group. The Department will provide technical advice and counsel and special assistance as appropriate.
- II. It is recognized that natural production provides the great bulk of the State's salmon and steelhead resources. The Department's goals of maintaining and improving this production shall not become subservient to the goals of publicly operated rearing programs.

IV-3-D Sacramento and San Joaquin River Salmon Survival

It is the position of the Department of Fish and Game that the State Water Resources Control Board should take the following actions:

- I. The SWRCB adopt a position that restoration of salmon and steelhead of the Central Valley to historic levels is of equal importance as the maintenance or expansion of agriculture in the valley.

II. Sacramento River Salmon Survival

1. The SWRCB should adopt an objective of maintaining the survival rate of each race of salmon smolts passing through the estuary at the historical level.

While we recommend adopting the concept for all races of salmon, information exists only for fall run. Hence, the objective for other races should be in the form of planning guidance.

The first objective should be to implement reasonable measures to improve survival within the estuary. Measures to be considered should include:

- a. Maintaining minimum flows at Rio Vista.
- b. Curtailing exports at peak outmigration periods.
- c. Closing or screening the Delta Cross Channel.
- d. Screening Georgiana Slough.
- e. Screening agricultural diversions.
- f. Decreasing water temperatures.
- g. Constructing a new combined CVP-SWP Delta pump intake facility with a screen and a downstream bypass flow.

If the objective cannot be fully attained through such measures in the estuary, then actions outside the estuary might be considered to provide equivalent benefits with habitat improvement having priority.

The most directly affected parties should jointly develop a mutually acceptable plan before Phase III of the Bay-Delta hearing starts. The SWRCB staff participation would be welcomed during the process.

2. The SWRCB should establish, as a planning objective, the principle that any further water development projects in the Delta should be directed toward attaining the above objective while maintaining an unobstructed route for the upstream migration of salmon through the estuary.

III. San Joaquin System Salmon Production

1. The Board should direct major upstream water rights holders in the San Joaquin River system (i.e., USBR, City of San Francisco, OID, SSJID, MoID, TID, and MID) to work with DFG, USFWS, and NMFS to prepare a plan for the restoration of salmon in the San Joaquin River system upstream from the Delta and submit it to the SWRCB by 1992 for consideration relative to their water rights. The SWRCB should institute improved flows in the interim to begin restoration.

2. For planning purposes, the SWRCB should adopt an objective of maintaining the survival of salmon smolts passing through the estuary at historical levels. Sufficient information is not going to be currently available to establish historical levels for the San Joaquin River system. Hence, we recommend that the SWRCB adopt a group of interim measures designed to improve survival substantially.

Measures to be considered should include:

- a. A minimum flow at Mossdale at least in normal and wet years.
- b. Coordination of spring releases in the three major tributaries made pursuant to existing agreements to achieve a pulse flow into the Delta in late April and/or early May.
- c. Restrict total exports from the Delta to something less than the San Joaquin River inflow when flows are being augmented pursuant to (b).
- d. Provide that physical modifications be made so that the proportion of the flow in the San Joaquin River which enters the head of Old River from April 1 to June 15, can be controlled. We believe a reasonable objective is that not more than 20 percent of the flow should enter Old River, except during floods.
- e. Provide physical modifications so that the flow remaining in the San Joaquin River at the head of Old River is sufficient to maintain dissolved oxygen above 5 ppm in the San Joaquin River between Stockton and Turner Cut.
- f. Measures to minimize losses of salmon to Delta diversions and replacing those salmon unavoidably lost.

The DFG is willing to work with other parties to develop specific interim objectives to recommend to the SWRCB.

3. The SWRCB should establish an objective of eliminating flow reversals in the San Joaquin River by 1995. To protect salmon using the San Joaquin River system, it is preferable to isolate the diversion of Sacramento River water from the San Joaquin portion of the Delta. But, we do not think the problem will be resolved in this manner at the upcoming hearing.

IV-4 - Salmon and Steelhead Stock Management Policy

IV-4-A Policy and Goal

It is the policy of the **DFG** to maintain the genetic integrity of all identifiable stocks of salmon and steelhead in

California. To protect the genetic integrity of California salmon and steelhead stocks, each salmon or steelhead stream shall be evaluated by the DFG and the stocks classified according to their probable genetic source and degree of integrity. Management and restoration efforts will be guided by this classification system, and policies relating to artificial production must also be compatible with this classification systems.

IV-4-B Classification and Management System

The classification system shall be employed to define the appropriate stocks and the role of artificial production for management of each salmon and steelhead stream in California. This classification may be applied to drainages, individual streams, or segments of streams as necessary to protect discrete stocks of salmon or steelhead. Only designated appropriate stocks may be placed or artificially produced in any stream within the guidelines specified under this classification system. Exceptions to these management constraints may be allowed only under emergency conditions that substantially threaten the long-term welfare of the fishery. Exceptions may only be granted upon submission of a written request, which details the emergency conditions, by a region or an IFD Assistant Chief to the Chief of IFD. The IFD Chief will review the request and make recommendations for approval or denial to the Deputy Director of Fisheries who will then approve or deny the request.

Salmon and Steelhead Stream Classification System Terms:

1. The salmon or steelhead stocks stream management goal shall manage streams for the following appropriate stock and only those stocks may be placed in the stream (each term is progressively inclusive of the preceding terms):
 - a. Endemic - Only historic naturally reproducing fish originating from the same stream or tributary.
 - b. Naturally reproducing stocks within drainage - Naturally reproducing stocks from the drainage of which the stream is part.
 - c. Hatchery stocks within basin - Stocks which may include hatchery produced fish from streams within the drainage.
 - d. Naturally reproducing stocks from out of basin - Naturally reproducing stocks from streams outside the basin of which the stream is part.
 - e. Hatchery stocks out of basin - Stocks which may include hatchery produced fish from streams outside the basin.
 - f. Any stock - Any stock which appears to exhibit characteristics suitable for the stream system.

2. Artificial production limitations shall be defined according to the following terms:
 - a. None - No artificial production or fish planting permitted. Manage for natural reproduction. Rearing habitat fully occupied by natural production in most years.
 - b. Supplementary - Artificial production is less desirable than natural production and is allowed only to the extent that it provides for full stocking of the stream. Artificial production shall be construed to be a temporary measure until such time as the DFG determines the stream to be fully stocked, but shall not continue beyond 6 years without formal review by the appropriate Regional Fisheries Management Supervisor and Inland Fisheries Division representative. Releases of artificially reared fish shall be distributed to minimize disruption of naturally produced salmon or steelhead.
 - c. Complementary - Artificial production is as important for fishery management purposes as natural production and hatchery production may be used on a permanent basis to complement natural production. The level of hatchery production shall not significantly interfere with natural reproduction and survival.
 - d. Hatchery - Managed principally for hatchery production with natural production protected but considered secondary.

IV-4-C Department of Fish and Game Fish Screen Policy

It is the policy of the DFG to provide all necessary measures to minimize fish losses caused by entrainment or other hazards associated with diversion of water. These measures may include fish screens, cessation of diversion during critical periods, or relocation of diversion points.

Fish and Game Code sections 5980-5993, 6020-6028, and 6100 provide authority for the Department to require fish screens and adequate bypass flows.

The Department will construct and operate, or require construction and operation of adequate fish screens on authorized water diversions when other equally effective measures to protect fish cannot be used.

The Department will seek public funding support for construction of fish screens or other appropriate protective measures if the owner of the diversion is not responsible for costs. When it is necessary to avoid losses until financial responsibility for a fish screen is established, the Department may proceed with construction and operation using public funds, then require restitution upon determination of responsibility. The Department will expeditiously determine financial

responsibility for existing and new fish screens. The Department will also determine if other measures are needed to reduce adverse fishery effects of water diversions.

The Department will provide technical assistance to owners of water diversions for the development of measures to minimize adverse effects. Where financial responsibility is allocated to the owner of a diversion, the Department may be reimbursed for technical or construction assistance beyond that required for preliminary problem identification and planning.

IV-5 - Recommended for Immediate Action

IV-S-A Habitat Restoration Project Proposals

Although there are many potential opportunities for habitat restoration or enhancement projects, identification of individual projects requires a substantial commitment of manpower resources. Additionally, each project requires design, engineering, construction, and administration. Accomplishment of habitat development projects by DFG requires a greater allocation of personnel and materials.



Spawning gravel rejuvenation project on the Feather River

In many cases, substantial benefits from habitat improvement projects require increased water releases from water impoundment projects. The DFG's top priority for salmon and steelhead restoration and enhancement is improved water flow and quality throughout the Sacramento-San Joaquin rivers and Delta systems.

The following is a list of projects that should be accomplished prior to 1993 by DFG in cooperation with other agencies and private interests:

Sacramento River System

1. Main Stem River

- a. Work with USBR to expedite the design and construction of a multilevel intake structure on Shasta Dam to optimize water temperatures in the Sacramento River downstream to RBDD.
- b. Work with the Environmental Protection Agency and USBR to expedite the cleanup and continued dilution of heavy metals in the acid mine wastes originating from Iron Mountain Mine.
- c. Evaluate alternative designs for modifying ACID dam to eliminate or minimize flow fluctuations and to provide unimpaired fish passage, then implement the best alternative design.
- d. Develop and implement a continuous gravel replenishment program for the upper Sacramento River from Keswick Dam to Cottonwood Creek. Gravels should be of sufficient quantity to replenish those which have been lost since the construction of Shasta Dam and which will continue to be lost.
- e. Improve instream flows to maximize habitat conditions and minimize adverse impacts. The soon-to-be-complete instream flow study results should be used in determining modified flow releases.
- f. Work with USBR, NMFS, and USFWS to design and construct a new fishway on the east bank at RBDD. The new fishway is intended as an alternative to raising the gates to facilitate both up and down-stream migration. Therefore, the new facility must meet the following criteria:
 - Flow capacity must be between 5,000 and 10,000 cfs.
 - Provide upstream passage for adults and downstream passage for juveniles.
 - Both the upstream and downstream headworks at the new fishway must be immediately adjacent to the respective faces of the dam.

- Be equipped with fish trapping and handling facilities and gates for shutting down individual channels within the facility.
 - The gates on RBDD will continue to be opened until the new facility can work properly.
- g. Evaluate fish losses associated with the 300 water diversions along the Sacramento River. Identify and implement corrective measures where appropriate. Costs of screening most of the larger diversions may exceed \$7 million.
- h. Gravel sources, both in the stream and on adjacent land, should be acquired on the main stem and all tributaries with runs of 200 or more fish. Where acquisition is infeasible, **other** actions should still be taken to protect spawning gravel such as: negotiation with project sponsors, consultation with local government, application of Fish and Game Code, and identification of State **lands**.
- i. Correct the problem of water temperature associated with Colusa Drain overflow.

Sacramento River temperatures in the lower reach become marginal or excessively warm in May, June, and July. High mortality of juvenile salmon then occurs.

In 1985, 843,000 acre-feet of drain water was discharged to the Sacramento River during April to September from two sources: the Colusa Drain and Sacramento Slough. The CVRWQCB standards allow the water discharge to be no warmer than 68 F. It would be extremely difficult to keep the discharge water at or below that temperature to avoid increasing the temperature of the main river. The water should be recycled for agricultural uses or diverted into a holding area until it can be safely released. If DFG is not successful in achieving this solution, the USBR and the SWRCB should develop measures to greatly reduce the volume or redirect the discharge to acceptable receiving waters.

- j. Examine all return channels such as Colusa Drain and Sutter Slough to determine if adult salmon stray into such channels and die, or whether they are able to reach suitable spawning habitat. If fish are lost, then permanent migration barriers should be constructed at the return channel outfall. If fish are successfully spawning, then measures to improve immigration of adults and the subsequent emigration of juveniles should be implemented.
2. Clear Creek

Implementation of the following actions could annually produce more than 30,000 adult fall-run chinook salmon, 5,000 adult spring-run chinook, and 5,000 adult steelhead.

- a. Request USBR to make releases from Whiskeytown Dam in order to maintain the following flows at McCormick-Saeltzer Dam: 200 cfs from October 15 to March 1, and 150 cfs from April 1 to October 14; these flows will improve habitat conditions for fall and spring-run chinook salmon and steelhead.
- b. Construct a new fish ladder at McCormick-Saeltzer Dam to provide passage for adult salmon and steelhead to the upper 10 miles of the creek.
- c. Construct a fish screen on the diversion canal at McCormick-Saeltzer Dam to prevent entrainment of outmigrating juvenile salmon and steelhead.
- d. Purchase riparian and main channel lands and gravel leases, and restore channel and gravel bar productivity through weir and gravel placement, channel alignment, and revegetation. Acquire sufficient stream flows to meet salmon and steelhead year-round water needs. Land and gravel lease purchase and initial habitat development will cost \$6.5 million. Annual operation and maintenance would cost \$250,000, and the water costs are presently unknown but could exceed \$500,000 per year if other arrangements are not made.

3. Battle Creek

- a. Work with PG&E to screen five major Battle Creek diversions. Substantial losses of both spring- and fall-run chinook salmon juveniles will be alleviated by this action. This preliminary cost estimate is \$300,000.
- b. Construct a physical barrier to prevent adult salmon and steelhead from entering the Gover Ditch bypass. A minimum estimate of 100 adult fish are lost in this ditch annually. The estimated cost of construction is \$50,000.
- c. Request FERC to modify PG&E's Battle Creek project license to obtain adequate flows to maximize instream habitat and spawning conditions.

4. Mill Creek

- a. Purchase property belonging to the holders of water rights served by the diversion at Clough Dam. Remove Clough Dam and specify the quantity of the decreed water right as minimum stream flow required under Fish and Game Code section 5937. Also reestablish the riparian zone along Mill Creek damaged by cattle grazing.
- b. Increase minimum flows during critical periods through an exchange of water with Los Molinos Mutual Water Company. Exchange water might be obtained from ground water wells or from water stored on seasonal wetlands on the Dye Creek Preserve.

- c. Reconstruct the spawning riffle downstream of the Clough Dam. Reconstruction costs are estimated at \$10,000.

Implementation of these measures can result in producing runs of about 2,000 spring-run salmon, 6,000 fall-run salmon, and 2,000 steelhead.

5. Deer Creek

- a. Obtain adequate stream flows through negotiation with water districts or through water exchanges.

6. Butte Creek

- a. Evaluate fish passage and entrainment problems at 12 existing irrigation dams. Construct or reconstruct fish ladders and screens as necessary.
- b. Implement instream habitat restoration work in lower Butte Creek.
- c. Obtain adequate stream flows to facilitate upstream and downstream migration of salmon.

San Joaquin River System

1. Main Stem River

- a. Develop alternate water delivery systems for El Solyo, Patterson, West Stanislaus, and Banta Carbona systems in April and May, or rescreen diversions if alternative delivery systems cannot be developed.
- b. Purchase 100,000 acre-feet of Class II water from CVP (Millerton) in average and above average water years for delivery to Mendota Pool as an alternative to transfer from the Delta. Use comparable water storage from San Luis Reservoir conveyed via the Delta-Mendota Canal and released down Volta Wasteway, Newman Wasteway, or other conveyance systems to augment San Joaquin River flows at, or immediately below, the Merced River confluence in April and early May. This water could then be available on demand to help convey smolts past the diversion points to the Delta, and to improve water quality (temperature).
- c. Screen irrigation pump intakes where other equally effective alternatives cannot be found.
- d. Evaluate the placement of a radial-gate control or fish screen on Old River to shunt San Joaquin flows and smolts down the San Joaquin River past Stockton.
- e. Obtain SWP and CVP pumping curtailments during major juvenile salmon outmigration periods. Tributary water management may help narrow the "windows" for major outmigration periods.

- f. Construct a major hatchery and egg-taking facility on either the Stanislaus or Tuolumne river for the production of 2 million yearling and 10 million smolt salmon and 500,000 yearling steelhead.

2. Stanislaus River

- a. Enter into a long-term contract with USBR for purchase of 100,000+ acre-feet of Ne Melones water for in-basin uses.
- b. Negotiate with USBR, DWR, and local water districts to improve and make permanent the allocation of flows provided in the existing DFG-USBR agreement.
- c. Reconstruct the river channel:
 - one-half mile below Knights Ferry:
 - below the OHE Gravel Company on the north side of the river and improve the side channel for spawning and rearing habitat:
 - add hydraulic controls and make gravel additions in the 3-mile reach below the OHE Gravel Company for spawning and rearing habitat;
 - establish a defined channel through the abandoned gravel pit between Lovers Leap and Rock Dam to create an improved migration corridor;
 - remove the encroaching vegetation constricting the channel along the south bank near Rock Dam to restore spawning and nursery habitat:
 - add hydraulic controls and make gravel additions adjacent to the USCOE Horseshoe Road Park:
 - modify the side channel at Honolulu Bar to eliminate juvenile salmon stranding, riprap gravel and add gravel to improve spawning habitat in this area: and
 - establish a defined channel through the old gravel pit at USEO Oakdale Recreation Area.
- d. Construct a supplemental hatchery along with an egg-taking facility for the production of 2 million yearling salmon and 500,000 yearling steelhead.
- e. Screen all irrigation pump intakes that are hazardous to salmon and steelhead (unless other equally effective measures can be implemented).

3. Tuolumne River

- a. Modify the channel below LaGrange Dam to reduce salmon stranding due to flow fluctuations.

- b. Reestablish channel structure:
 - across from Zanker Ranch (alternative channels),
 - at M. J. Ruddy Gravel Plant,
 - at Reed Gravel Pits, and
 - at several gravel pits between Basso Bridge and Turlock Lake State Recreation Area.
 - c. Secure adequate stream flow releases to meet all life-history requirements for salmonids.
 - d. Design and install a migration barrier to adult salmon on Dry Creek to eliminate the straying problem.
 - e. Support funding for an intake structure for the City of Modesto's domestic water supply to facilitate conjunctive use of water.
 - f. Screen irrigation pump intakes hazardous to salmon (unless other equally effective measures can be implemented).
 - g. Develop alternatives, such as enlargement of LaGrange Reservoir, to eliminate or reduce peaking-power discharge into the Tuolumne River during December through mid-March. Operational changes may be feasible to achieve fishery benefits.
 - h. Remove Dennett Dam.
 - i. Review OID and MoID boundaries and assess capability and feasibility of OID servicing MoID customers north of Modesto in dry years. Salvaged water from MoID could augment flows on the Tuolumne River.
4. Merced River
- a. Obtain (purchase) water from MID for interim spring flow augmentation in April and May.
 - b. Construct gravel restoration projects between MRFF and the town of Cressy.
 - c. Reconstruct wing dams and bypass structures on the six riparian diversions (see Davis-Grunsky Agreement) to improve juvenile salmon survival.

original cost/benefit ratio that justified construction and operation of the Tehama-Colusa facilities required an enhancement feature of 54,000 increased chinook salmon escapement. The project also included 3,000 chinook escapement as mitigation. The project has not met any of its enhancement or mitigation obligations. The total deficit should be compensated by the project beneficiaries through cooperative development and implementation of a comprehensive fishery restoration program.

The CNFH provides only partial replacement of fish production lost as the result of the Shast Dam project. This facility suffers from poor water supply inefficient design, poor siting for main stem salmon runs, and deteriorating equipment and structures. Some of these problems can be corrected through restoration of habitat and upgrading of the facility: but its water supply for year-round production of fall-, late-fall-, winter-, and spring-run chinook salmon and its siting off the main stem probably cannot be completely remedial. The State should consider assuming operation responsibilities for any new upper Sacramento River Federal hatcheries and urge the Federal government to construct and fund operation of new production facilities on Clear Creek and at Keswick Dam.

Flow Fluctuation Rates. Rapid flow fluctuations result in eroded redds, dewatered redds, isolated adult fish, and stranded (or grounded) juvenile fish. A study should be conducted to determine the tolerance of fish to flow fluctuations and to develop specific criteria and recommendations for each river in the Central Valley. These recommendations should be submitted to the water management agencies and be incorporated into any future flow agreements.

Central Valley Project. A complete review and analysis should be conducted on the CVP water development and delivery system to quantify salmon and steelhead losses. The review should include the Sacramento and San Joaquin rivers, the Delta, the pumping plant and screens, and the effect of agriculture return water. The review should be Federally funded.

Based on the analysis and summary of losses, the DFG should work with the USBR, USFWS, and NMFS to request Federal appropriations to provide full restitution for all accumulated fish losses. These agencies should also take corrective action to significantly reduce ongoing losses. In many instances one of the justifications of Federal water projects was "fishery enhancement benefits"; therefore, net fishery benefits should be produced.

Straying of Adult Salmon in the San Joaquin River System.

Salmon migrating up the San Joaquin River to spawn in the Merced River have a greater than normal tendency to stray. This is due to water being diverted from the Merced River, and later returning to the San Joaquin River upstream from the confluence of the two rivers. As the spawners approach the confluence, many are attracted to the San Joaquin River which

has a confusing mixture of Merced River water. Unfortunately, the fish tempted to go up the San Joaquin River will end up in areas with no spawning or rearing habitat.

Complimentary Flow Schedules. River and Delta fish production and survival could be greatly increased with improved and complimentary flow schedules. Development of such schedules would require careful compilation and analysis of the factors and alternatives affecting fisheries throughout the system.

The study would involve compilation of all the significant flow schedules and legal mandates for all Sacramento and San Joaquin rivers and upper Delta tributaries. The study team should include a fishery biologist, a water rights expert/legal advisor, a computer programmer, and a study leader. The object of the study would be to work with other agencies having the data bases, software capability, and management authority to develop a computer program and user instructions that could display the fishery benefits in the rivers and the Delta of variable flow schedules from the tributaries.

The effort would require the cooperation of USBR, USCOE, U.S. Geological Survey (USGS), DWR, and the local water agencies. The potential benefit would be to reduce fish losses due to stranding, poor water quality, and entrainment and increase spawning, rearing and angling success both in the rivers and the reservoirs. The principal benefit would be to water managers responsible for making frequent flow adjustment decisions.

San Joaquin River Hatchery A major salmon and steelhead production-facility is needed to cutur San Joaquin River stocks and increase system production by 100,000 chinook salmon and 10,000 steelhead. The Tuolumne River has been identified as one possible location for a hatchery that could provide production of this magnitude, but other sites are also under consideration.

Plans and specifications, including costs for construction and operation, and a benefit analysis are needed prior to fully committing to construction. The hatchery would have to produce approximately 2 million yearling and 600,000 advanced fingerling chinook salmon and 0.5 million 3-4/lb (yearling) steelhead.

Merced Rive Fish Facility. A development plan should be completed ifo MRFF to increase production enough to result in 20,000 additional adult chinook salmon. An adequate water supply and a feasible method for assuring an adequate egg supply of Merced River stock needs to be identified and secured before facility enlargement is funded.

PART V - CONCLUSIONS

The Sacramento and San Joaquin rivers have the potential to produce, through natural spawning and hatchery production, as many chinook salmon and steelhead as were ever known prior to the large water developments and other human modifications of the system. These fish

will be largely different stocks than those that were endemic to the system's upper tributaries. Together with the hatchery system there is a potential for major expansion of the fishery.

This plan proposes retention and restoration of all known stocks, and enhancement of steelhead and fall-run chinook salmon populations.

Emphasis will be placed on restoration and enhancement of natural habitat and naturally produced fish. Intensive habitat management will be required throughout the system, but especially within the Delta and estuary where outmigrant juvenile survival and adult upstream passage are the most important limiting factors.

The public, together with all levels of government, must work in concert to reach the goals of this plan. The DFG clearly has the constitutional and lead responsibility for implementation of the plan. Mitigation will normally be accomplished by the lead agencies for specific projects with assistance and encouragement, when necessary, by the DFG.

This plan will be updated biennially to keep it current as progress is made toward meeting the restoration goals. Details of the specific actions to be taken within each river section, tributary, and in the Delta will be prepared and distributed as appendices to this report. These appendices will be updated as needed.

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GLOSSARY OF ABBREVIATIONS

ACID	Anderson-Cottonwood Irrigation District
CEQA	California Environmental Quality act
cfs	cubic feet per second
CNFH	Coleman National Fish Hatchery
CVP	Central Valley Project
CVRWQCB	Central Valley Regional Water Quality Control Board
DFG	Department of Fish and Game
DWR	Department of Water Resources
EBMUD	East Bay Municipal Utility District
EEZ	Exclusive Economic Zone
FERC	Federal Energy Regulatory Commission
FGC	Fish and Game Commission
fps	feet per second
FRH	Feather River Hatchery
FWCA	Fish and Wildlife Coordination Act
FWQA	Federal Water Quality Act
GCID	Glen-Colusa Irrigation District
MID	Merced Irrigation District
MoID	Modesto Irrigation District
MRFF	Merced River Fish Facility
MRFI	Mokelumne River Fish Installation
NMFS	National Marine Fisheries Service
NFH	Nimbus Fish Hatchery
OID	Oakdale Irrigation District
PG&E	Pacific Gas and Electric Company
PFMC	Pacific Fishery Management Council
ppm	parts per million
RBDD	Red Bluff Diversion Dam
SBC	State Board of Commissioners
SSJID	South San Joaquin Irrigation District
SWP	State Water Project
SWRCB	State Water Resources Control Board
TCFF	Tehama-Colusa Fish Facility
USBR	U.S. Bureau of Reclamation
USCOE	U.S. Army Corps of Engineers
USDI	U.S. Department of Interior
USFC	U.S. Fish Commission
USFWS	U.S. Fish and Wildlife Service
ussc	U.S. Secretary of Commerce